



Transfer of Ownership

6. Process

- 6.1. Goffs will inform Weatherbys of any horse entered in the sale with actual or anticipated Glorious Goodwood entries.
- 6.2. To assist in swift processing of the transfer of ownership after purchase Weatherbys, the BHA, and Goffs will work closely together with regards to the external communication and operational delivery of this arrangement.
- 6.3. Weatherbys will ensure that the relevant Transfer of Engagement hardcopy forms are provided for use at the Sale; Goffs UK will provide internet access so that the New Racing Administration System ("NRAS") can be used to complete all other administration.
- 6.4. The Goffs UK team will arrange for the Registration processes and any Transfer of Engagement forms to be completed by the purchaser immediately after the Sale, should be emailed to Weatherbys at ownershipdesk@weatherbys.co.uk, other applications should be submitted online via NRAS. Ultimately, the responsibility for provision of correct information to Weatherbys and the BHA so that the horse may be allowed to run at Glorious Goodwood lies with the Owner.
- 6.5. The Goffs UK team will arrange for the new owner to contact Weatherbys directly on +44 (0)1933 304808 immediately after their purchase. The Weatherbys team will be required to confirm:
 - 6.5.1. purchaser's Owner Registration;
 - 6.5.2. Transfer of Engagement;
 - 6.5.3. Trainer of the horse;
 - 6.5.4. Colours registration (Does the owner have registered colours? Are the colours registered overseas);
 - 6.5.5. Any other details required by Weatherbys/BHA

7. BHA Rules of Racing

This agreement is without fettering to all other Rules of Racing, as they apply from time to time. The BHA Rules can be found at: <http://rules.britishhorseracing.com>.

8. Cost

There is an estimated cost of £300 (+ VAT) for the services required to deliver this arrangement, (based on 6 hours additional work). However should Weatherbys encounter any unanticipated problems, additional charges could be incurred at a rate of £50 (+ VAT) per hour. To be invoiced by Weatherbys following the Sale.

Conditions of Sale

Updated July 2019

This sale is held subject to the Conditions of Sale set out below, which have been specifically adapted for the Goffs UK Goodwood Sale. This sale is held subject to the Conditions of Sale set out below. All potential Vendors and Purchasers are advised to carefully read these Conditions. The Conditions of Sale in this Sales catalogue take precedence over any previously published Conditions of Sale.

1 DEFINITIONS

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| <p>1.1 Affiliate means any person that controls Goffs UK, is controlled by Goffs UK or is under common control with Goffs UK.</p> <p>1.2 Associated Company means Robert J Goff & Co Plc, a subsidiary of Robert J Goff & Co Plc or a holding company of Robert J Goff & Co Plc or any other subsidiary of that holding company or an Affiliate, but specifically, without limitation of the foregoing, Goffs Country Property Consultants Limited, Goffs Bloodstock Sales Limited and Goffs UK Limited.</p> <p>1.3 Catalogue means the catalogue in which these terms and conditions are contained. The word Catalogue also includes any supplement to it issued by Goffs UK from time to time.</p> <p>1.4 Control means, in relation to any person, the beneficial ownership of any of the issued share capital of, or the legal power to direct or cause the direction of the general management of the person in question or its holding company or parent company.</p> | <p>1.5 Debtor means any Vendor, Purchaser or New Purchaser (as appropriate) with any liability to Goffs UK.</p> <p>1.6 Goffs UK means Goffs UK Limited.</p> <p>1.7 Goffs UK Premises means Goodwood Racecourse.</p> <p>1.8 Horse-In-Training means any Lot that has started in a Race.</p> <p>1.9 Lot means the horse which is to be sold and which has a Lot number in the Catalogue.</p> <p>1.10 Owner means the person, partnership, syndicate or corporation named on the Entry Form as the owner of the Lot.</p> <p>1.11 Prohibited Substances means Anabolic Steroid and/or NSAIDs.</p> <p>(a) Anabolic Steroid means an anabolic androgenic steroid or a metabolite, an isomer, an isomer of the metabolite or a pro-drug of the substance.</p> <p>(b) NSAIDs means Non-Steroidal Anti-Inflammatory Drugs.</p> |
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(c) Specific Concentration means the minimum concentration relevant to the sex of the Lot and where no minimum concentration for the substance relevant to the sex of the Lot in any amount.

1.12 **Purchaser** means the highest bidder to whom a Lot is knocked down by Goffs UK or who purchases the Lot privately following the sale.

1.13 **Purchase Price** (as regards the Purchaser) is the price in pounds at which the Lot was knocked down by Goffs UK in the ring or the price at which it is sold privately plus VAT and commission.

1.14 **Sale** means the sale by auction conducted by Goffs UK.

1.15 **Sale Price** (as regards the Vendor) means the price in pounds sterling at which the Lot was knocked down by Goffs UK or the price at which it is sold privately, subject to deductions for commission, entry fee and any other charges which may apply.

1.16 **Sales Office** means the Goffs UK Office in Goodwood Racecourse.

1.17 **The Panel** means one or more Veterinary Surgeons appointed by Goffs UK.

1.18 **Vendor** means the person, partnership or corporation named on the Entry Form as the owner of the Lot, provided however where an Owner is not named in the Entry Form the person who entered the horse for sale on behalf of the owner shall be deemed to be the Vendor. The

Vendor if not the Owner shall be deemed at all times and for all purposes to be the servant or agent of the Owner.

1.19 In these Conditions:

1.19.1 The singular includes the plural and vice versa;
1.19.2 References to persons include bodies corporate, unincorporated associations, governments, states, partnerships and trusts (in each case, whether or not having separate legal personality); and
1.19.3 “subsidiary” and “holding company” have the meanings given to them by sections 1159 of the Companies Act 2006.

2 THE SALE

2.1 COMMISSION AND FEES

2.1.1 An entry fee is payable to Goffs UK by the Vendor for each Lot entered and catalogued. The fee is non-refundable upon publication of the catalogue and will be subject to a 5% levy to fund the BHA/TBA Inward Buyers Initiative.

2.1.2 The Vendor will pay commission to Goffs UK equal to 1.5% of the Sale Price of each Lot, (subject to a minimum of £100).

2.1.3 The Purchaser will pay commission to Goffs UK equal to 6% of the purchase price.

2.1.4 Goffs UK reserve the right to charge full commission of 7.5%

from the Vendor in respect of any cancelled sale.

2.1.5 Goffs UK reserve the right to charge full commission of 7.5% from the Vendor in respect of any Lot sold between the date of publication of the Catalogue and seven calendar days following the last day of the sale.

2.1.6 When a Lot is bought in 100% by the Vendor 7.5% of the price of that Lot is payable by the Vendor unless Goffs UK are notified by the conclusion of the sales session in which the Lot was offered and the Lot is published as a “Vendor” sale, in which case 2.5% of the Price is payable by the Vendor to Goffs UK (subject to a minimum commission of £100).

2.1.7 When a Lot is unsold, the Vendor will pay Goffs UK 2.5% of the reserve price if the reserve exceeds £20,000.

2.1.8 A withdrawal fee of £1,000 is payable by the Vendor to Goffs UK for any lot which is catalogued and withdrawn prior to the sale unless notification of withdrawal is accompanied by a veterinary certificate to the satisfaction of Goffs UK.

2.1.9 All fees and commissions are subject to VAT at the appropriate rate.

2.2 BIDDING

2.2.1 The auction shall be conducted in £ Sterling. Subject to the discretion of the auctioneer, no bid shall advance less than

£1,000 up to £50,000;
£2,000 up to £100,000;
£5,000 up to £500,000;
£10,000 thereafter.

2.2.2 Should any dispute arise between two or more bidders, or between Goffs UK and any bidder, Goffs UK decision shall be binding on all parties. At Goffs UK’s discretion the Lot in dispute may be put up again for auction and resold, subject to the reserve price in accordance with 3.1 below.

3 VENDORS

3.1 Vendors of Lots are subject to entry fees and commission as outlined in 2.1 All Lots are subject to a reserve price which shall either be the minimum selling price set by Goffs UK or any higher reserve price which Goffs UK must have received in writing from the Owner, the Vendor or his agent prior to the Lot being offered for sale. Where no instruction is received in writing from the Owner, the Vendor or his agent, the Lot will be offered without reserve.

3.2 Each Vendor undertakes that a Lot entered in the Catalogue shall not be sold by the Owner before the Sale.

3.3 Goffs UK will endeavour to have all Catalogue information correctly stated, but the Vendor is responsible for the accuracy of its content and the correction of any error or omission. Goffs UK shall not be liable for any statements made in the Catalogue or from the

- rostrum concerning a Lot. Catalogue descriptions, pedigrees or performances are prepared for them by Weatherbys Ltd. as a service to Goffs UK who are acting in their capacity as agent for the Vendor, and the content or accuracy of any representation or statement are solely made by the Vendor in relation to any Lot. Neither Weatherbys Ltd. nor Goffs UK accept any liability for any loss resulting from any errors or omissions contained in the catalogue detail.
- 3.4 The Vendor undertakes:
- (a) To lodge in the Sales Office for each Lot present at the Sale the Passport, vaccinations, health certificates in accordance with Appendix 1 of these Conditions and any veterinary certificate referred to in Condition 4 whichever apply, prior to the Sale. Goffs UK will not offer any Lot for sale unless the Passport and any other relevant documentation have been lodged prior to the Sale in the Sales Office.
- (b) To ensure that all Lots are correctly micro-chipped. The Vendor undertakes to bear the cost of micro-chipping if any Lot is found not to be correctly micro-chipped by the conclusion of the Sale.
- (c) All Lots must have up to date vaccinations in accordance with the Vendor Sale Requirements (Appendix 1 of these Conditions). Where vaccinations are not up to date, a notice to that effect will be announced by the auctioneer, or the Lot must be withdrawn.
- 3.5 All import/export paperwork must be in order prior to the Sale or the Lot may be withdrawn at Goffs UK's discretion. It will be the sole responsibility of the Vendor to ensure that any Lot's paperwork is in order and the Vendor will bear the cost of any outstanding paperwork whilst Goffs UK will not pay the sales proceeds to the Vendor until the appropriate documentation has been delivered to the Purchaser.
- 3.6 A Vendor or any one person on his behalf may bid for any Lot owned by such Vendor. Where however a Lot is stated to be "the Property of a Partnership" or "to Dissolve a Partnership" any partner, syndicate member, co-owner or other person interested may bid on his own behalf either personally or through an agent.
- 3.7 In the case where any Lot has been knocked down to a bidder who has made no payment arrangements prior to bidding Goffs UK may impose one of the following measures in their absolute discretion:
- (a) The Sale will be deemed to be cancelled and the Lot will immediately be reoffered on behalf of the Vendor.
- (b) Goffs UK will not make payment to the Vendor until the Lot has been paid for in full.
- 3.8 Upon receipt of a single written application from the Vendor, Goffs UK will pay to the Vendor the Sale Price of each Lot sold not earlier than 35 days after the last day of Sale or on such date as specified in the Entry Form or as agreed subject to 3.7 above, 3.9, 3.10, 3.11, 3.12. Goffs UK are not under any obligation to place the sale proceeds on deposit prior to the Vendors Application for Payment. Unless otherwise instructed by the Vendor, Goffs UK will issue payment to the Vendor by way of cheque posted by ordinary post to the address provided by the Vendor on the Application for Payment. Payment to the Vendor in this manner will be deemed to have been made at the time of posting of the cheque by Goffs UK and all payments are sent at the risk of the Vendor and Goffs UK will incur no liability to any party as a result of the non - delivery of the cheque to the Vendor.
- 3.9 In the case of any Lot where a dispute has arisen between the Vendor, the Owner, the Purchaser and/or any third party making any claim in relation to the Lot, or where there are competing claims for payment, Goffs UK may refuse to make payment to the Vendor pending resolution of the dispute. In exercising this right, Goffs UK will incur no liability to any party, and no interest shall be payable by Goffs UK on any sale proceeds retained.
- 3.10 When the Owner of a Lot is a partnership or a syndicate and one or more member(s) of that partnership or syndicate is the Purchaser, Goffs UK reserve the right to withhold payment to the partner(s) selling until the Purchase Price has been received in full from the Purchaser.
- 3.11 The Vendor shall indemnify Goffs UK in respect of any loss, or liability suffered or costs disbursements or expenses incurred bona fide by Goffs UK by reason of bringing or defending any proceedings which arise directly or indirectly from any breach of these Conditions of Sale by the Vendor.
- 3.12 Goffs UK will deduct 0.75% from the sales proceeds of British Vendors as a Sales Levy on behalf of the Thoroughbred Breeders Association, who will be notified of the Vendors name and address unless the Vendor notifies Goffs UK in writing within 28 days of the last day of the Sale that he is unwilling to contribute.

4. BASIS OF SALE

There is no term implied in any sale that any Lot is of merchantable quality or is fit for training or any particular purpose. Any term, condition or warranty that might be incorporated into or apply to these Conditions of Sale are excluded to the maximum extent permissible by applicable law. It is the responsibility of the Purchaser to ensure that he is satisfied with the condition of any Lot before bidding. Additionally a Purchaser must make his own enquiries and exercise his own judgement as to the value of a Lot.

Conditions of Sale (Continued)

Lots will be offered for sale in one of the following ways:

HORSE-IN-TRAINING

- (a) **With a Pre-Sale Veterinary Certificate**, to which the following conditions shall apply:
- (i) The Lot shall be examined not earlier than 14 days prior to the Sale at the premises of its registered Trainer by a member of The Panel. For horses trained outside the United Kingdom the Lot shall be examined at a location as directed by Goffs UK.
- (ii) The examination will be a full clinical examination, with x-rays, to determine the Lot's suitability for racing.
- (iii) The Panel's certificate shall be deemed to be an expression of opinion by a duly qualified veterinary surgeon and shall not constitute a warranty.
- (iv) The certificate will be made available to prospective purchasers by Goffs UK prior to Sale.
- (v) For any Horse-In-Training, the Purchaser may request Goffs UK to instruct a member of The Panel to re-examine the Lot not more than 48 hours following the Sale, specifically for clinical examination. If the findings are at variance with the Pre-sale Veterinary Certificate the Sale may be cancelled at the discretion of the Purchaser. The Purchaser must make this request to Goffs UK within one hour of the fall of hammer or no re-examination is permitted.
- (vi) The fees for all the Veterinary Examinations shall be borne by the Purchaser.
- (vii) Fillies may be offered with a Breeding Certificate in addition to the Pre-Sale Veterinary Certificate.
- (b) **Sold Subject to Post-Sale Veterinary Examination**
- (i) The Purchaser may request Goffs UK to instruct The Panel to examine the Lot not more than 7 days following the Sale. The Purchaser must make this request to Goffs UK within one hour of the fall of hammer or no post-sale examination will be permitted.
- (ii) The examination will be a full clinical examination, with x-rays, to determine the Lot's suitability for racing.
- (iii) The Panel will not be concerned with the accuracy of any other statement concerning any particular Lot whether contained in the catalogue or in any veterinary certificate produced.
- (iv) The Lot shall remain in the care of the trainer and remain at the risk of the Vendor until the examination has been completed.
- (v) The decision of The Panel shall be final and binding between Vendor and Purchaser.
- (vi) The Sale may be cancelled at the discretion of the Purchaser if the Lot fails the Post-Sale Examination carried out by The Panel.
- (vii) In addition, the Sale may be cancelled at the discretion of the Purchaser if in the opinion of The Panel the Lot is incapable of being x-rayed or scoped to their satisfaction. In this case the Vendor will bear the cost of the examination.

- (viii) Fillies may be offered with a Breeding Certificate in addition to the Post-Sale Veterinary examination.
- (ix) The appropriate Post-sale examination fees shall be borne by the Purchaser.
- (c) **BROODMARE (with or without a foal at foot)**

With a Pregnancy Certificate

dated not earlier than 14 days prior to the Sale which must be lodged in the Sales' Office by the Vendor prior to the Sale, and which will be read out by Goffs UK at the time of Sale. Where a Broodmare is sold with a Veterinary Certificate of Pregnancy, the Purchaser may request to have her re-examined for pregnancy by The Panel provided the Purchaser has notified Goffs UK within 60 minutes of purchase that a re-examination is required. If the Broodmare is found not to be as certified, the sale may be cancelled at the discretion of the Purchaser provided notice of cancellation is made by the Purchaser to Goffs UK no later than 1 hour after the Purchaser was notified by Goffs UK of The Panel's decision. The Purchaser shall in all cases be liable for the re-examination fee.

(d) FILLY OUT-OF-TRAINING

With a Breeding Certificate

dated not earlier than 14 days prior to the Sale which must be lodged in the Sales' Office prior to the sale, and which will be read

out by Goffs UK at the time of Sale. Fillies or broodmares which are not in foal and which are offered for sale as Breeding Stock must be offered with a Breeding Certificate, except for two-year-old fillies, or broodmares that have foaled since the publication of the Catalogue and prior to the sale, where no warranty as to their suitability for breeding is given or implied. Where a Broodmare or Filly is sold with a Breeding Certificate, the Purchaser may request to have her re-examined for suitability for breeding purposes only by The Panel provided the Purchaser has notified Goffs UK within 60 minutes of purchase that a re-examination is required. If the Broodmare or Filly is found not to be as certified, the sale may be cancelled at the discretion of the Purchaser provided notice of cancellation is made by the Purchaser to Goffs UK no later than 1 hour after the Purchaser was notified by Goffs UK of The Panel's decision.

5. BLOOD SAMPLING FOR PROHIBITED SUBSTANCES & PIROPLASMOSIS

5.1 NSAIDs and Anabolic Steroids

- 5.1.1 This Condition stands alone and is separate and distinct from Condition 4 and applies to Horses in Training other than those declared to run within five days of the Sale.

Conditions of Sale *(Continued)*

- 5.1.2 Where NSAIDs Prohibited Substances are referred to in a certificate signed by an independent veterinary surgeon and read out by Goffs UK at the time of the Sale the Condition will apply but the Lot shall not be returnable to the Vendor unless other NSAIDs Prohibited Substances than those certified and read out are present.
- 5.1.3 A Purchaser may ask for a blood sample to be taken from a Lot for the purpose of testing for NSAIDs when signing the Acknowledgement of Purchase Form in accordance with Condition 9.5. The Purchaser shall be responsible to Goffs UK for the costs and expenses of taking and testing the sample unless the sale is cancelled under this Condition, in which case Condition 5.1.8(d) will apply. Failure to request a drug test under this Condition will be an absolute bar to the Purchaser returning the Lot under this Condition.
- 5.1.4 If a blood sample has already been taken by the Panel at a pre-sale veterinary examination, this may be used for the purpose of testing for NSAIDs.
- 5.1.5 Where a Purchaser instructs Goffs UK to take and test a blood sample the said Lot shall not be removed from the United Kingdom or Ireland and if they do so, the Purchaser shall be liable for the costs of returning the Lot back to the Vendor in the event of a Purchaser electing to return the Lot in accordance with a right to do so under this Condition.
- 5.1.6 If a blood sample cannot be taken from the said Lot (notwithstanding that the Panel has used such reasonable endeavours as are commensurate with the circumstances) the Sale may be cancelled at the Purchaser's discretion.
- 5.1.7 Where a blood sample is found to contain NSAIDs other than those certified and read out the Purchaser may elect to return the Lot to the Vendor providing such election is made to Goffs UK by 5pm on the seventh calendar day after the Purchaser was notified by Goffs UK of the result.
- 5.1.8 (a) Where a Purchaser elects to return a Lot in accordance with this Condition Goffs UK shall notify both parties that the contract of sale is cancelled and the Lot will be at the Vendor's risk from such time that he is notified and the Vendor must arrange and pay for any transport, keep and any other costs incurred by the purchaser in connection with this lot, and providing such election is made in writing to Goffs UK by 5pm on the seventh calendar day after the Purchaser was notified by Goffs UK of the result.
- (b) Upon Goffs UK having acknowledged receipt of the Purchaser's notice in accordance with Condition 5.1.8(a) hereof Goffs UK shall give notice to both Vendor and Purchaser that the contract of the sale in respect of the said Lot has been cancelled.
- (c) The Lot shall be at the Purchaser's risk in all respects from the fall of the hammer until notice is given by Goffs UK in accordance with Condition 5.1.8 (b) hereof. For the avoidance of a doubt, in any case where Goffs UK has been given such notice the Lot is thereafter at the risk of the Vendor.
- (d) If the Purchaser has elected to return the Lot to the Vendor in accordance with this Condition the Vendor Shall:
- (i) pay Goffs UK on Invoice a sum equivalent to the commission that would be payable under these Conditions of Sale had the contract of sale not been cancelled by the Purchaser.
 - (ii) pay Goffs UK on invoice its charge for taking the sample, having it analysed under this Condition.
 - (iii) indemnify Goffs UK against all costs claims demands actions and expenses out of or in connection with its decision under this Condition.
- 5.2 **PIROPLASMOSIS**
- 5.2.1 This Condition stands alone and is separate and distinct from Condition 4 and applies to any Lot other than those declared to run within five days of the sale.
- 5.2.2 Where a Lot is described without qualification as positive for Piroplasmosis in a certificate signed by an independent qualified veterinary surgeon and read out by Goffs UK at the time of the Sale the Condition will apply but the Lot shall not be returnable to the Vendor. Where a Lot is not so described, the Lot shall be returnable to the Vendor in accordance with this Condition where Goffs UK decides in its absolute discretion that a blood sample taken from the said Lot in accordance with this Condition and tested in accordance with the Böse test for Piroplasmosis under OIE Protocol using a Piro IFAT test ("the Prescribed Test") contains the specified levels of either Theileria equi or Babesia caballi.
- 5.2.3 A list showing the specified levels for Theileria equi and Babesia caballi is available upon request made to Goffs UK and Purchasers and Vendors are advised to check the current specified levels before purchasing or entering a Lot for sale.
- 5.2.4 A Purchaser may ask for a blood sample to be taken from a Lot for the purpose of testing for the presence of Piroplasmosis when signing the Acknowledgement of Purchase form in accordance with Condition 9.5. The Purchaser shall be responsible to Goffs UK for the costs and expenses of taking and testing the sample unless the said sample is found to be positive in accordance with Condition 5.2.2 in which event the Vendor shall be responsible for such costs and expenses. (For the avoidance of doubt, any sample

Conditions of Sale (Continued)

- not found to be negative shall be deemed to be positive). Failure to request a test for Piroplasmosis under this Condition will be an absolute bar to the Purchaser returning the Lot under this Condition.
- 5.2.5 If a blood sample has already been taken by The Panel at the Pre-Sale veterinary examination, this may be used for the purpose of testing for Piroplasmosis.
- 5.2.6 If a blood sample cannot be taken from the said Lot (notwithstanding that The Panel have used such reasonable endeavours as are commensurate with the circumstances) the Sale may be cancelled at the Purchaser's discretion.
- 5.2.7 Goffs UK shall exercise its absolute discretion in making a decision under Condition 5.2. Goffs UK decision shall be final and binding on both Vendor and Purchaser and Goffs UK shall not be responsible for any loss or expense incurred by any party arising out of its decision.
- 5.2.8 The Purchaser expressly acknowledges that, although there are other methods of testing for Piroplasmosis, a Lot is only returnable to the Vendor if it is decided by Goffs UK that the sample taken from a Lot and tested using the Prescribed Test contains the specified levels of either *Theileria equi* or *Babesia caballi*. The Purchaser further acknowledges that the presence of either *Theileria equi* or *Babesia caballi* in the sample at levels below the specified levels is not a ground for return of a Lot to the Vendor, in which instance the Purchaser shall be bound to keep and pay the full amount of the purchase price for the Lot.
- 5.2.9 Where a Purchaser instructs Goffs UK to take and test a blood sample the said Lot shall not be removed from the United Kingdom or Ireland and if they do so, the Purchaser shall be liable for the costs of returning the Lot back to the Vendor in the event of a Purchaser electing to return the Lot in accordance with a right to do so under this Condition.
- 5.2.10 Where a sample is found to be positive the Purchaser may elect to return the Lot to the Vendor providing such election is made to Goffs UK by 5pm on the seventh calendar day after the Purchaser was notified by Goffs UK of the result.
- 5.2.11 Where a Purchaser elects to return a Lot in accordance with this Condition Goffs UK shall notify both parties that the Sale is cancelled and the Lot will be at the Vendor's risk from such time that he is notified. The Vendor must arrange for the Lot to be collected, and pay for any such transport, and any other reasonable costs incurred by the Purchaser in connection with this Lot subject to Condition 5.2.9.
- 5.3 FURTHER SAMPLING**
- 5.3.1 Nobody may remove a sample of hair, blood or any other testable material from any Lot while on Goffs UK Premises, without specific permission from the Vendor. No sample of hair, blood, urine or other testable material from any Lot, other than those described in Condition 5 shall be used as reason for the return of any Lot.
- 6 ENGAGEMENTS**
- 6.1 A Lot is sold with engagements as given in the Catalogue, or announced at the time of Sale. Goffs UK however, accept no responsibility for engagements given or omitted. It will be the sole responsibility of the Vendor to ensure that the relevant documentation is completed and lodged with the Authority in question, but of the Purchaser to cancel any race entry if a forfeit applies. A Declaration of Forfeit for UK engagements must be made to Weatherbys and for Irish engagements to Horse Racing Ireland.
- 6.2 In particular Goffs UK do not warrant that a Lot is eligible for participation in the Plus 10 or any other breeders' scheme whether European or American notwithstanding that a statement to that effect or so implying may have been included in the Catalogue or made from the Rostrum.
- 7 PRIVATE SALES AND TRANSFERS**
- 7.1 Any sale concluded on the day of the Sale or within seven calendar days between a Purchaser and a Vendor after the Lot has been unsold or bought in by the Vendor at the Sale shall be subject to the Goffs UK Conditions of Sale. Such transactions must be finalised in writing on a Private Sale Form signed by the Vendor, the Purchaser and an authorised representative of Goffs UK. For the avoidance of doubt, this includes any Sale conducted online. The terms of Condition 9 below will apply to the Purchaser.
- 7.2 Where Goffs UK accepts the transfer of a Lot from the Purchaser to a third party (the New Purchaser), the appropriate Private Sale Form must be completed in the Sales Office. The terms of Condition 9 below will apply to the New Purchaser.
- 8 PASSING OF RISK AND TITLE**
- 8.1 The Lot will be at the Vendor's risk at all times until the fall of hammer (or time of sale if sold privately) when the risk shall pass to the Purchaser subject to 8.2 below.
- 8.2 Any Lot tested for Prohibited Substances and Piroplasmosis under the terms of Condition 5 above shall remain at the Vendor's risk from the fall of the hammer until the sample has been taken from the Lot when risk passes to the Purchaser. If the sample proves to be positive for Prohibited Substances or Piroplasmosis, risk will pass back to the Vendor when the Vendor is notified.

Conditions of Sale (Continued)

- 8.3 Notwithstanding the passing of risk in this Condition or delivery of the Lot to the Purchaser, the Vendor shall retain title to the Lot until the full purchase price has been paid to Goffs UK.
- 8.4 Where Goffs UK have paid out the Vendor in accordance with 3.8 above, title of the Lot will vest in Goffs UK on the same terms as 8.3 above.
- 9. PURCHASERS**
- 9.1 All purchases are subject to 6% buyers' commission as outlined in Condition 2.1.3.
- 9.2 Goffs UK strongly recommend that Purchasers should attend the Sale in person and Purchasers are strongly advised to inspect each Lot prior to purchase. It is the responsibility of the Purchaser to ensure that he is satisfied with the condition of any Lot before bidding. Prospective Purchasers may use a veterinary surgeon of their own choice to check or inspect the condition of any Lot prior to sale provided the consent of the Vendor is obtained in advance, that the Vendor expressly consents to the form of inspection to take place and it is understood by prospective Purchasers that such consent is entirely at the Vendor's own discretion.
- 9.3 All Lots are sold subject to these Goffs UK Conditions of Sale and are subject to any announcements that may be made by the auctioneer. A Vendor may call upon Goffs UK to amend the Catalogue description at the Sale and it is the Purchaser's responsibility to ensure he hears any such announcement made by the auctioneer as a consequence. The information on the Goffs UK notice board, website and announcement boards in the Sales ring is for guidance only and Goffs UK are not liable for any error or omission from such information.
- 9.4 All certificates referred to in the Catalogue will be available for inspection in the Sales Office prior to the Sale.
- 9.5 Immediately after the purchase of each Lot, the Purchaser must sign the Acknowledgement of Purchase Form confirming the sales details together with his name, address and other information as required by Goffs UK.
- 9.6 Subject to 9.9 below, payment must be made in pounds sterling by the Purchaser for each Lot on the day of the Sale and the Lot must be removed by the Purchaser by the close of each day's sale. Prior to the Sale Goffs UK may agree to other payment terms at their discretion and may allow the Purchaser to remove the Lot before full payment has been received. In the event that payment is not received under the agreed terms, Goffs UK will endeavour to collect the debt by whatever means are deemed appropriate.
- 9.7 No Lot will be raced until it has been paid for in full.
- 9.8 Where a Purchaser bids or buys on behalf of another, the Purchaser must disclose the name and address of his principal when required by Goffs UK and both the Purchaser and his principal shall be jointly and severally liable under these Conditions.
- 9.9 Where the Purchaser notifies Goffs UK that a Lot has been bought on behalf of another after the Sale and asks Goffs UK to invoice that person (the "Nominee"), Goffs UK (at its absolute discretion) may agree to do so on the basis that the Purchaser and the Nominee will be jointly and severally liable to pay the Purchase Price. The Purchaser's payment obligations in respect of the relevant Lot will only be discharged in the event that the Nominee has paid the whole of the Purchase Price. However, where the Nominee pays part of the sum due but the remainder is unpaid, Goffs UK claim shall be limited to the unpaid sum.
- 9.10 Where a Vendor and a Purchaser agree to terms not covered by the Conditions of Sale, a copy of that agreement must be lodged in writing in the Sales Office before the Sale, and approved by Goffs UK. If no such copy of the agreement is lodged, and any dispute arises as a result of this agreement between the Vendor and the Purchaser, Goffs UK will demand that the Purchase Price is paid in full in accordance with Condition 9.6 unless the Vendor has notified Goffs UK in writing that the sale has been cancelled.
- 10. GOFFS UK'S RIGHTS**
- 10.1 Goffs UK reserve the right to exclude or remove any person or animal from their premises without giving any reason.
- 10.2 Goffs UK, as Agents for the Vendors, reserve the following rights, viz:
- To refuse the bidding of any person, without giving any reason.
 - To bid by themselves for any Lot.
 - To withdraw the Lot from sale at any time before it has been knocked down without disclosing the reserve price.
 - To reserve the right to fix a minimum price at any or all Sales.
- 10.3 Goffs UK do not make any representation whatsoever express or implied as to the identity of the Owner or Owners of any Lot at the time of entry, time of sale or at any other time. In the event of any dispute the Purchaser's remedy, if any, shall be against the Vendor and the Vendor's remedy shall be against the Purchaser, and not against Goffs UK who act as Agents between Vendor and Purchaser and shall not be liable as a Party in any action or dispute between them.
- 10.4 Goffs UK reserve the right to charge interest on unpaid accounts at 1.5% per month on all accounts

Conditions of Sale (Continued)

- which are unpaid 21 days after the last date of the Sale.
- 10.5 If for any reason a Lot is purchased and not paid for as hereinbefore provided (notwithstanding if the risk has passed to the Purchaser) then the following provisions shall take effect until payment is received:
- (a) Goffs UK shall be entitled to retain the Lot, and shall have a lien over the Lot and all documents relating to it for all expenses, including freight, incurred by them or any Agent of Goffs UK during such period of retention. Such lien may be exercised in respect of any other sum due by the Purchaser to Goffs UK. Goffs UK shall also be entitled to exercise the said lien over any documents in their possession relating to any other Lot or Lots owned by the Purchaser. A Lot shall not be delivered to the Purchaser until the expenses referred to above have been paid, in addition to the Purchase Price.
- (b) Should the Purchaser fail to pay for a Lot then such Lot may at any time be resold by public auction or private treaty, and the deficiency (if any) resulting from such resale shall immediately be made good by the defaulting Purchaser.
- (c) Nothing in these Conditions shall preclude Goffs UK and/or the Vendor of a Lot from pursuing all legal remedies available to them for the recovering of the Purchase Price from a defaulting Purchaser and all expenses and damages and commission lost resulting from such default.
- 10.6 Goffs UK may at any time, without notice, set off any liability of a Debtor (including but not limited to the outstanding purchase price of any Lot) against any liability of any Associated Company to the Debtor (whether any such liability is present or future, actual or contingent, liquidated or unliquidated and irrespective of the currency of its denomination) and Goffs UK may for such purpose convert or exchange any currency.
- 10.7 Any exercise by Goffs UK of its rights under Condition 10.6 shall be without prejudice to any other rights or remedies available to Goffs UK under these Conditions or otherwise.
- 11-13 DISPUTES PROCEDURE**
- 11 COMPLAINTS PROCEDURE**
- The Complaints Procedure applies only in the circumstances set out in Condition 12 below. For the avoidance of doubt, the clinical examinations in Condition 4 above do not apply to this Condition 11 as those examinations must be dealt with on the day of (or the day following) the Sale by The Panel.
- 11.1 A Purchaser wishing to invoke this Condition must do so by informing Goffs UK in writing (as per Condition 16) **by 5.00pm on the seventh calendar day after the Lot was purchased** giving specific details of their complaint, supported by a certificate signed by an independent veterinary surgeon.
- 11.2 The complaint shall be dealt with by Goffs UK, or their appointee, which may be a veterinary surgeon or other deemed appropriate by Goffs UK at such time, at such place and in such manner as Goffs UK deems appropriate. The decision of Goffs UK on the complaint, shall be final and binding on both the Vendor and the Purchaser. Goffs UK may have the Lot examined by their appointee and where the opinion of their appointee does not agree with the opinion of the Purchaser's appointee as expressed in his certificate furnished, then the opinion of the Goffs UK appointee shall prevail and shall be final and binding on the parties.
- 11.3 All expenses and charges incurred by such adjudication shall be paid by the Party found to be in error.
- 11.4 For the avoidance of doubt risk remains with the Purchaser throughout any complaint and only passes back to the Vendor when both parties are notified of Goffs UK decision to cancel the sale (if appropriate).
- 11.5 If any Lot has been removed from The United Kingdom or Ireland prior to the Purchaser making a complaint, it will be the Purchaser's responsibility to transport the Lot at their cost
- within five days to a referee in the United Kingdom, Ireland or France as appointed by Goffs UK.
- 11.6 No Lot may be returned for the reasons set out in Condition 5 or 12 if it has raced following the Sale.
- 12 LOTS RETURNABLE**
- 12.1 The Complaints Procedure set out in 11 above will only apply if the information in 12.1 below is not stated in the catalogue or announced at the Sale or if the Conditions in 12.2 below are not declared in the Catalogue or are not announced without qualification at the Sale. In these cases, the Sale may be cancelled at the discretion of the Purchaser.
- 12.1.1 The Lot is not registered with a Stud Book Authority approved by the International Stud Book Committee.
- 12.1.2 The pedigree or description of the Lot does not correspond with the pedigree or description as stated in the catalogue.
- 12.1.3 The Lot has run at an unrecognised meeting or is on the forfeit list maintained by a recognised Turf Authority.
- 12.1.4 The Lot is described as a Colt and does not at such time have both testes palpable (which may be so described by the auctioneer, or on the bid board, as a rig).
- 12.1.5 The Lot is the subject of a Starting Stalls Test as ordered by the Stewards of the BHA/Turf Club.

Conditions of Sale *(Continued)*

- 12.1.6 The Lot is a Broodmare/Filly which has been covered and/or subsequent to any covering has slipped the foal.
- 12.1.7 The Lot is subject to a BHA Non Racing Agreement (NRA1 form)
- 12.2 Any Lot which:
- (a) Is a wind-sucker, (i.e. frequently swallows air whether in association with grasping fixed objects with incisor teeth or not; a crib-biter is not returnable unless the crib-biting is associated with wind-sucking, in which case the Lot is returnable as a wind-sucker).
- (b) Has been operated on for the correction of wind-sucking as defined above.
- (c) Is a weaver; (i.e. frequently swings its head and neck to and fro and transfers weight from one forelimb to the other alternately).
- (d) Is a boxwalker (i.e. frequently walks either backwards and forwards or round and round the box repeatedly in an aimless manner).
- (e) Has been tubed or otherwise operated on for unsoundness in wind; (Operations to treat the displacement of the soft palate, including the operations tie forward, cautery of the soft palate, trimming of the soft palate and myectomy are not operations for the correction of unsoundness in wind within the meaning of this Condition).
- (f) Has impaired vision or injury to the eye.
- (g) Is a wobbler.
- (h) Has been unnerved.
- 13 DISPUTES**
- 13.1 Any dispute arising out of the sale of any Lot in accordance with these Conditions of Sale shall be a dispute between the Vendor and the Purchaser and in no circumstances shall Goffs UK be liable to either party in connection with such dispute.
- 13.2 Where Goffs UK are brought in as a party to any dispute despite 13.1 above, Goffs UK will be entitled to payment of their legal and other expenses on a full indemnity basis from the party who joins them in.
- 14 SAFETY**
- 14.1 Every person on Goffs UK premises before, during or after the Sale shall be deemed to be there at their own risk and shall have no claim against Goffs UK in respect of any injury sustained or any loss or damage to property which may occur from any cause whatsoever save that nothing in this sub-clause shall be taken to exclude or restrict liability for death or personal injury arising from Goffs UK's negligence.
- 14.2 Goffs UK accept no liability for any, disease, accident, loss or fatal or non-fatal injury caused to a Lot (including death, theft or injury) or caused by any Lot while it is being moved by Goffs UK employees, agents or subcontractors between yards and Goffs UK Premises or at any time whilst it is on Goffs UK Premises. Provided always that nothing in this sub-clause shall be taken to exclude or restrict Goffs UK's liability at law for death or personal injury to persons arising from Goffs UK's negligence.
- 14.3 All Lots must wear a head collar at all times on Goffs UK premises. Where a Vendor is found to have left a Lot without a head collar after the Sale, Goffs UK will supply a head collar at the Vendor's expense.
- 14.4 If any Lot is ridden at any time in conjunction with the Sale, the rider must wear a BHA approved helmet and body protector, and it shall be the responsibility of the Vendor or Purchaser to ensure that they, their employees or agents, adhere to this Condition.
- 15.5 The submission to the jurisdiction of the Courts of England and Wales shall not limit the right of Goffs UK to take proceedings against the Owner, the Vendor or the Purchaser in any other Court of competent jurisdiction and the taking of proceedings in one or more jurisdictions shall not preclude the taking of proceedings in any other jurisdiction whether concurrently or not.
- 16 NOTICES**
- When any certificate or notice is required to be given to Goffs UK under these Conditions of Sale, it must be made in writing and sent by first class post to the Goffs UK Hawick Office or emailed to winners@goffsuk.com. Any notice required to be given by Goffs UK to the Vendor or the Purchaser shall be sent to the address given on the Entry Form or the Acknowledgement of Purchase Form. Such notice shall be deemed given on the date of delivery or the second day after posting or on the day of transmission depending whether the notice is sent by hand, post or email.
- 15.1 Goffs UK reserve the right to add, cancel, alter or otherwise modify these Conditions of Sale.
- 15.2 These Conditions of Sale form the entirety of the agreement between Goffs UK, the Owner, the Vendor and the Purchaser and no variation shall be valid or binding unless specifically authorised in writing by two Executive Directors of Goffs UK.
- 15.3 These Conditions of Sale are to be construed and shall take effect in accordance with English Law.
- 15.4 The Owner, the Vendor and the Purchaser agree for the exclusive benefit of Goffs UK that these Conditions of Sale shall be subject

Vendor Sale Requirements

(Appendix 1)

This page is referred to in the Goffs UK Conditions of Sale. Passports of horses on the premises must be lodged in the Sales office prior to Sale per Condition of Sale 3.4

A.1.1. HORSES IN/OUT OF TRAINING

- Passport.
- Health Certificate (dated within 14 days of sale) (if present at the sale).
- Up-to-date flu vaccinations in accordance with A.1.6.
- CEM & EVA Certs required for fillies offered with Soundness for Breeding Certificate in accordance with Conditions A.1.4 and A.1.5.
- El documentation in accordance with Condition A.1.7 (if present at the Sale).

A.1.2. BROODMARES (IN FOAL)

- Passport (A pregnant mare must be registered with Weatherbys as a “broodmare”.)
- Health Certificate (dated within 14 days of sale) (if present at the sale)
- Vaccination against Equine Herpes Virus (EHV-1, EHV-4). All pregnant mares will require to have been vaccinated against EHV in the 5th, 7th and 9th month of pregnancy and details recorded on the mare’s passport. Pregnant mares will not be admitted to Goffs UK Premises without EHV vaccination certification.
- Covering Certificate
- Pregnancy Certificate (date within 14 days).
- CEM (Contagious Equine Metritis) Certificate in accordance with A.1.4
- EVA (Equine Viral Arteritis) Certificate dated in accordance with A.1.5

- El documentation in accordance with Condition A.1.7 (if present at the Sale)

A.1.3 FOALS (sold at foot or weaned)

- Passport
- Health Certificate (dated within 14 days of sale) (if present at the sale).
- El documentation in accordance with Condition A.1.7 (if present at the Sale)

A.1.4 CONTAGIOUS EQUINE METRITIS (CEM):

1 swab from Clitoral Fossa taken within 30 days of sale - to be negative for CEM.

A.1.5 EQUINE VIRAL ARTERITIS (EVA):

A blood sample taken within 30 days of sale to be negative for EVA. Animals that have had a positive result in the first test will need confirmation that a second test was taken, and that the result was either negative, or positive with a stable or declining titre. No broodmare or filly without an EVA Certificate will be allowed entry into Goffs UK Premises.

A.1.6 FLU VACCINATIONS: All Lots must have had a complete course of vaccinations in accordance with the current BHA requirements. All vaccinations should be recorded in the horse’s passport.

A.1.7 EQUINE INFLUENZA:

For horses arriving onto Goodwood Racecourse, a negative PCR dated within 96 hours of arrival must be lodged with Goffs UK prior to arrival.

A.1.8 VENDORS OF LOTS coming from overseas must apply to their Stud Book Authority for Export Certificates to be forwarded to Weatherbys.

These requirements may be altered or waived in the absolute discretion of Goffs UK.

The Bloodstock Industry Code of Practice (Appendix 2)

Introduction

The Code of Practice sets out the principles which apply to all sales of bloodstock and sales of stallion shares and nominations, be they private sales or sales at public auction. The intention is to ensure that sales of bloodstock in Britain not only comply with the law, but operate to the highest standards of integrity and transparency, and safeguard the interests of vendors, consignors, bloodstock agents, owners, trainers and the sales companies.

Definitions

- “Agent” means any trainer, consignor, bloodstock agent, racing or stud manager or other person or entity who represents a Principal in the sale or purchase of bloodstock, stallion shares and nominations, whether the Agent is paid by way of retainer, commission or other forms of remuneration, or has ongoing financial arrangements (for example the payment of management or training fees), or not.
- “Principal” means a person or entity who appoints an Agent to act as agent on his behalf in the sale or purchase of bloodstock, stallion shares and nominations as in the definition above.
- For clarification, words importing the masculine gender shall include the feminine.

Code

1. An Agent owes a duty to his Principal to act at all times in accordance with his Principal’s best interests.
2. An Agent shall not place himself in a position where personal interests conflict with the duty to his Principal. In particular, an Agent shall not use his position to benefit from a secret payment or secret profit, which means any payment or benefit in kind
3. When an Agent acts as a vendor or part-vendor and sells or intends to sell any horse in which he has an interest to a Principal, or intended Principal, the Agent must disclose to his Principal, before completion, the full extent of that ownership or interest and the benefit to be derived from that transaction.
4. If an Agent acts for more than one Principal in a transaction (which might be both the vendor and purchaser), the Agent can only do so if he has first disclosed this fact, before completion, to all his Principals, and obtained their consent.
5. An Agent must notify his Principal, wherever possible in advance, when a conflict of interest could arise, such as transactions involving third parties with whom he has a retainer, transactions where he is aware he will benefit from a third party, or transactions concerning horses which he has previously purchased or been involved with.
6. An Agent shall disclose to his Principal and, if required, account to his Principal for any Luck Money paid to him by or on behalf of a vendor.