

## Advice to Buyers

Goffs advise potential purchasers to read the **Conditions of Sale** on pages 25-50 before bidding, as well as the **Bloodstock Industry Code of Practice** that follows as Appendix 2, as both are legally binding. The Conditions of Sale are the "laws" of our sales and are designed to protect the rights of both Vendor and Purchaser whilst ensuring that Goffs auctions are fair to both parties. They set out the legal basis under which Goffs auctions are conducted whilst the Code of Practice is designed to prevent malpractice at bloodstock sales in UK and Ireland.

In addition Goffs offers the following advice:

- Goffs strongly recommend that Purchasers use a professional adviser
- Goffs strongly recommend that Purchasers inspect the horses before bidding and obtain veterinary advice where appropriate
- Purchasers should listen carefully to any announcements that may be made by the auctioneer at the time of each sale as those announcements are final and binding on all parties. It is a Purchaser's responsibility to hear any such announcements
- Information on the bid boards and notice boards are for guidance only and no liability shall attach to Goffs or the vendor for any errors or omissions
- All relevant paperwork and certification is available for inspection in the Sales Office prior to sale
- Purchasers are reminded that horses are at their risk from the fall of hammer, or time of private purchase. Goffs strongly recommends that insurance cover is effected immediately
- Passports will be forwarded to Purchasers as soon as is practical following the Sale or may be collected by the Purchaser from the Sales Office on the day of Sale
- After sale no lot will be allowed to exit Goffs without an official pass-out which must be obtained from the Sales Office

Goffs are part of the *Bloodstock Industry Forum* that produced the Bloodstock Industry Code of Practice which outlines the relevant laws in effect in Britain and Ireland. Goffs works to the highest standards of integrity and has zero tolerance of any breach of the Code. As per Condition of Sale 1.4.2, all Vendors, Purchasers and bidders are bound by the Code. Goffs strongly advises all participants at all sales to read the Code and note the serious consequences of any failure to abide by it without exception

## Conditions of Sale

Updated January 2023

This sale is held subject to the Conditions of Sale set out below. All potential Vendors and Purchasers are advised to carefully read these Conditions of Sale as well as the Advice to Buyers on the previous page and the Bloodstock Industry Code of Practice that follows as Appendix 2. The Conditions of Sale in this Sale catalogue take precedence over any previously issued Conditions of Sale.

### 1 DEFINITIONS

- 1.1 **Affiliate** means any person that controls Goffs UK, is controlled by Goffs UK or is under common control with Goffs UK.
- 1.2 **Associated Company** means Robert J Goff & Co Plc, a subsidiary of Robert J Goff & Co Plc or a holding company of Robert J Goff & Co Plc or any other subsidiary of that holding company or an Affiliate, but specifically, without limitation of the foregoing, Goffs Country Property Consultants Limited, Goffs Bloodstock Sales Limited and Goffs UK Limited.
- 1.3 **Catalogue** means the catalogue in which these terms and conditions are contained. The word Catalogue also includes any supplement to it issued by Goffs UK from time to time.
- 1.4 **Code** means The Code of Practice drawn up by the Bloodstock Industry Forum and appended to these Conditions as Appendix 2
  - 1.4.1 Goffs UK fully supports the Code which is also available on Goffs' website
  - 1.4.2 All participants at Goffs UK sales (whether Vendors, Purchasers, agents or otherwise) hereby acknowledge that they are bound by and agree to observe in full the Code including any amendments that may be made and published from time to time.
- 1.5 **Control** means, in relation to any person, the beneficial ownership of any of the issued share capital of, or the legal power to direct or cause the direction of the general management of the person in question or its holding company or parent company.
- 1.6 **Debtor** means any Vendor, Purchaser or New Purchaser (as appropriate) with any liability to Goffs UK.
- 1.7 **Goffs UK** means Goffs UK Limited.
- 1.8 **Goffs UK Hawick Office** means the Auction Mart Offices in Hawick, Roxburghshire.
- 1.9 **Goffs UK Premises** means the Goffs UK Doncaster Sales Complex, Doncaster Racecourse and Doncaster Racecourse Stables.
- 1.10 **Lot** means the horse which is to be sold and which has a Lot number in the Catalogue.
- 1.11 **New Purchaser** means the person to whom the Lot has been transferred after the Sale in accordance with 7.2 below.
- 1.12 **Owner** means the person, partnership, syndicate or corporation named on the Entry Form as the owner of the Lot.

- 1.13 **Prohibited Substances** means any anabolic steroid, bisphosphonate, corticosteroid, clenbuterol, non-steroidal anti-inflammatory drug (NSAID's) or their metabolites
- 1.14 **Purchaser** means the highest bidder to whom a Lot is knocked down by Goffs UK or who purchases the Lot privately following the sale.
- 1.15 **Purchase Price** (as regards the Purchaser) is the price in pounds at which the Lot was knocked down by Goffs UK in the ring or the price at which it is sold privately plus VAT and commission.
- 1.16 **Sale** means the sale by auction conducted by Goffs UK.
- 1.17 **Sale Price** (as regards the Vendor) means the price in pounds sterling at which the Lot was knocked down by Goffs UK or the price at which it is sold privately, subject to deductions for commission, entry fee and any other charges which may apply.
- 1.18 **Sales Office** means the Goffs UK Office in the Sales Complex at Doncaster.
- 1.19 **The Panel** means one or more Veterinary Surgeons appointed by Goffs UK.
- 1.20 **Vendor** means the person, partnership or corporation named on the Entry Form as the Owner of the Lot, or, if no Owner is named on the Entry Form, the person who entered the Lot for sale. If the Vendor is not the Owner of the Lot or the legal owner(s) of the Lot, the Vendor shall be deemed at all times and for all purposes to be the servant or agent of the Owner and/or the legal owner(s).
- 2.1 In these Conditions:
- 2.1.1 The singular includes the plural and vice versa;
- 2.1.2 References to persons include bodies corporate, unincorporated associations, governments, states, partnerships and trusts (in each case, whether or not having separate legal personality); and
- 2.1.3 "subsidiary" and "holding company" have the meanings given to them by sections 1159 of the Companies Act 2006.
- 2.1.6 When a Lot is bought in 100% by the Vendor 7.5% of the price of that Lot is payable by the Vendor unless Goffs UK are notified by the conclusion of the sales session in which the Lot was offered and the Lot is published as a "Vendor" sale, in which case 2.5% of the Price is payable by the Vendor to Goffs UK (subject to a minimum commission of £100).
- 2.1.7 When a Lot is unsold, the Vendor will pay Goffs UK 2.5% of the reserve price if the reserve exceeds £15,000.
- 2.1.8 A withdrawal fee of £300 (£750 for the Breeze-Up, Premier Yearling, and Silver Yearling Sales) is payable by the Vendor to Goffs UK for any Lot which is catalogued and withdrawn prior to the sale unless notification of withdrawal is accompanied by a veterinary certificate to the satisfaction of Goffs UK.
- 2.1.9 All fees and commissions are subject to VAT at the appropriate rate.
- 2.2 **BIDDING**
- 2.2.1 The auction shall be conducted in £ Sterling. Subject to the discretion of the auctioneer, no bid shall advance less than £200 up to £2,000; £500 up to £20,000; £1,000 up to £50,000; £2,000 up to £100,000; £5,000 up to £500,000; £10,000 up to £1,000,000; £50,000 thereafter.
- 2.2.2 Online bidders will be offered bid amounts that reflect those most commonly used in a live sale, which will generally, but not exclusively, be subject to the same minimum advances outlined in 2.2.1 above
- 2.2.3 Should any dispute arise between two or more bidders, or between Goffs UK and any bidder, Goffs UK decision shall be binding on all parties. At Goffs UK's discretion the Lot in dispute may be put up again for auction and resold, subject to the reserve price in accordance with 3.1 below.
- 3 **VENDORS**
- 3.1 Vendors of Lots are subject to entry fees and commission as outlined in 2.1 All Lots are subject to a reserve price which shall either be the minimum selling price set by Goffs UK or any higher reserve price which Goffs UK must have received in writing from the Owner, the Vendor or his agent prior to the Lot being offered for sale. Where no instruction is received in writing from the Owner, the Vendor or his agent, the Lot will be offered without reserve.
- 3.2 Each Vendor undertakes that a Lot entered in the Catalogue shall not be sold before the Sale.
- 3.3 Goffs UK will endeavour to have all Catalogue information correctly stated, but the Vendor is responsible for the accuracy of its content and the correction of any error or omission. Goffs UK shall not be liable for any statements made in the Catalogue or from the rostrum concerning a Lot. Catalogue descriptions, pedigrees or performances are prepared for them by Weatherbys Ltd. as a service to Goffs UK who are acting in their capacity as agent for the

- Vendor, and the content or accuracy of any representation or statement are solely made by the Vendor in relation to any Lot. Neither Weatherbys Ltd. nor Goffs UK accept any liability for any loss resulting from any errors or omissions contained in the catalogue detail.
- 3.4 The Vendor undertakes:
- (a) To lodge in the Sales Office for each Lot, the Passport, vaccinations, health certificates in accordance with Appendix 1 of these Conditions and any veterinary certificate referred to in Condition 4.1, whichever apply, prior to the Sale. Goffs UK will not offer any Lot for sale unless the Passport and any other relevant documentation have been lodged prior to the Sale in the Sales Office.
- (b) To ensure that all Lots are correctly micro-chipped. The Vendor undertakes to bear the cost of micro-chipping if any Lot is found not to be correctly micro-chipped by the conclusion of the Sale.
- (c) All Lots from yearlings (after 1st July) upwards must have up to date vaccinations in accordance with BHA guidelines and Appendix 1 of these Conditions. Where vaccinations are not up to date, a notice to that effect will be announced by the auctioneer, or the Lot must be withdrawn.
- 3.5 All import/export paperwork must be in order prior to the Sale or the Lot may be withdrawn at Goffs UK's discretion. It will be the sole responsibility of the Vendor to ensure that any Lot's paperwork is in order and the Vendor will bear the cost of any outstanding paperwork whilst Goffs UK will not pay the sales proceeds to the Vendor until the appropriate documentation has been delivered to the Purchaser.
- 3.6 The Vendor will pay any administration fees incurred by Goffs UK relating to import paperwork required to facilitate the HMRC Docket Scheme.
- 3.7 A Vendor or any one person on his behalf may bid for any Lot owned by such Vendor. Where however a Lot is stated to be "the Property of a Partnership" or "to Dissolve a Partnership" any partner, syndicate member, co-owner or other person interested may bid on his own behalf either personally or through an agent.
- 3.8 In the case where any Lot has been knocked down to a bidder who has made no payment arrangements prior to bidding Goffs UK may impose one of the following measures in their absolute discretion:
- (a) The Sale will be deemed to be cancelled and the Lot will immediately be reoffered on behalf of the Vendor.
- (b) Goffs UK will not make payment to the Vendor until the Lot has been paid for in full.
- 3.9 Upon receipt of a single written application from the Vendor, Goffs UK will pay to the Vendor the Sale Price of each Lot sold not earlier than 35 days after the last day of Sale or on such date as specified in the Entry Form or as agreed subject to 3.6 and 3.8 above, 3.10, 3.11, 3.12, 3.14, 3.16 below. Goffs UK are not under any obligation to place the sale proceeds on deposit prior to the Vendors Application for Payment. Unless otherwise instructed by the Vendor, Goffs UK will issue payment to the Vendor by way of cheque posted by ordinary post to the address provided by the Vendor on the Application for Payment. Payment to the Vendor in this manner will be deemed to have been made at the time of posting of the cheque by Goffs UK and all payments are sent at the risk of the Vendor and Goffs UK will incur no liability to any party as a result of the non- delivery of the cheque to the Vendor.
- 3.10 In the case of any Lot where a dispute has arisen between the Vendor, the Owner, the Purchaser and/or any third party making any claim in relation to the Lot, or where there are competing claims for payment, Goffs UK may refuse to make payment to the Vendor pending resolution of the dispute. In exercising this right, Goffs UK will incur no liability to any party, and no interest shall be payable by Goffs UK on any sale proceeds retained.
- 3.11 When the Owner of a Lot is a partnership or a syndicate and one or more member(s) of that partnership or syndicate is the Purchaser, Goffs UK reserve the right to withhold payment to the partner(s) selling until the Purchase Price has been received in full from the Purchaser.
- 3.12 The Vendor shall indemnify Goffs UK in respect of any loss, or liability suffered or costs disbursements or expenses incurred bona fide by Goffs UK by reason of bringing or defending any proceedings which arise directly or indirectly from any breach of these Conditions of Sale by the Vendor or in relation to the sale of the Lot.
- 3.13 The Vendor acknowledges that Goffs UK may disclose any personal data included in the sales entry form (including the identity and ownership interest of the person(s) stated on the sales entry form to be the Owner(s) of the Lot and the Vendor's identity and ownership interest, as applicable) to any person in connection with the Sale at Goffs UK's absolute discretion (including by publishing the personal data included on the sales entry form in the Register of Ownership which will be available for anyone to inspect in the Sales Office on the day of the Sale). Where the Vendor provides information about the Owner(s) on the sales entry form, the Vendor confirms that they are permitted to do so in accordance with the UK General Data Protection Regulation and the Vendor has made the Owner(s) aware that Goffs UK may disclose the information in accordance with this Condition 3.13 and the Goffs UK privacy policy which can be found at <https://www.goffssuk.com/privacy-policy>.
- 3.14 The Vendor authorises Goffs UK to deduct from the Sale Price of each and every lot sold the sum of £3 to be paid to the Bloodstock Industry Forum for the purpose of providing a facility for a participant with concerns over an alleged breach of the Code to obtain free, initial and

independent legal advice from a Panel Lawyer as to any criminal, civil and regulatory remedies for breach of the Code and for the purpose of enabling the Code to be reviewed annually to ensure that it remains current, robust and fit for purpose.

- 3.15 The Vendor is responsible for each Lot, up to and including the Sale. This includes any liability to Goffs and/or their parties. Vendors are required to ensure they have carried out appropriate risk assessments, that each Lot is appropriately handled and remains under their control, and that they have appropriate public liability insurance in place, with a limit of indemnity no less than £5,000,000 for any one claim. Goffs holds no liability to third parties as a result of injury from any Lot and this solely rests with the Vendor.
- 3.16 Goffs UK will deduct 0.75% from the sales proceeds of British Vendors as a Sales Levy on behalf of the Thoroughbred Breeders Association, who will be notified of the Vendors name and address unless the Vendor notifies Goffs UK in writing within 28 days of the last day of the Sale that he is unwilling to contribute.
- 3.17 Where the Vendor is registered for VAT, the Vendor agrees to enter into a self-billing arrangement with Goffs UK.

#### 4. BASIS OF SALE

- 4.1 All Lots are sold as they stand (see 4.1(a) below) unless "Basis of Sale" on the catalogue page includes options 4.1(b) to 4.1(f) listed

below. There is no term implied in any sale that any Lot is of merchantable quality or is fit for training or any particular purpose. Any term, condition or warranty that might be incorporated into or apply to these Conditions of Sale are excluded to the maximum extent permissible by applicable law. It is the responsibility of the Purchaser to ensure that he is satisfied with the condition of any Lot before bidding. Additionally a Purchaser must make his own enquiries and exercise his own judgement as to the value of a Lot.

- (a) **As it stands** (without a veterinary certificate). If a Lot is to be sold in this way, no veterinary certificate may be displayed prior to, or read out at, the Sale.
- (b) **Sold Subject to a post-sale veterinary examination by the Panel** (see condition 4.3 below) whether with or without a formal veterinary certificate signed by an independent veterinary surgeon (not the Owner, Trainer and/or Vendor). Where a veterinary certificate accompanies the Lot, it must be dated within 14 days of the Sale, lodged in the Sales Office and read out by Goffs UK at the time of Sale. In no circumstances shall the contents of any veterinary certificate constitute a warranty condition or other contractual term, description or representation made by Goffs UK. If required by a Purchaser such Lot shall be subject to re-examination by a member of The Panel, providing the Purchaser has notified the Sales Office within 60 minutes of purchase that a re-examination is required. Failure to request a re-examination under this Condition will prevent the

Purchaser seeking cancellation of the sale for any conditions in 4.3 below. Where a veterinary certificate accompanies the Lot, the sale may be cancelled at the discretion of the purchaser, only in the event of a difference of opinion between the Panel and the Veterinary Certificate, provided notice of cancellation is made by the Purchaser to Goffs UK no later than 1 hour after the Purchaser was notified by Goffs UK of the Panel's decision.

- (c) **Sold subject to post-sale veterinary examination for wind only by The Panel.** For the purpose of this Condition no other rights of Re-examination will apply. Any Lot sold in this way, which is a Whistler and/or a Roarer, (being a horse which makes an abnormal inspiratory sound when actively lunged) and is not so described, is returnable.
- (d) **With a Pre Sales Veterinary Certificate. (subject to pre-sale examination by The Panel)** to which the following conditions shall apply:
- (i) The Lot shall be examined prior to the Sale by The Panel and in such a manner as the Panel considers to be appropriate
- (ii) The Panel's certificate shall be deemed to be an expression of opinion by a duly qualified veterinary surgeon and shall not constitute a warranty. The examination will not include any radiological techniques, nor will it be concerned with the accuracy of any other statement concerning any particular Lot whether contained in the catalogue or in any veterinary certificate, save that it shall take into account any

- qualification contained in a veterinary certificate produced under this condition.
- (iii) the Certificate will be read out by Goffs UK at the time of Sale
- (iv) the fee for the examination shall be borne by the Purchaser
- (v) No Lot shall be permitted to leave Goffs UK Premises between the examination by The Panel and the time of sale
- (vi) Goffs UK in no way accept responsibility for the findings of the Panel carrying out the examination.

- (e) **With a Pregnancy Certificate** dated not earlier than 14 days prior to the Sale which must be lodged in the Sales' Office by the Vendor prior to the Sale, and which will be read out by Goffs UK at the time of Sale. Where a Broodmare is sold with a Veterinary Certificate of Pregnancy, the Purchaser may request to have her re-examined for pregnancy by The Panel provided the Purchaser has notified Goffs UK within 60 minutes of purchase that a re-examination is required. If the Broodmare is found not to be as certified, the sale may be cancelled at the discretion of the Purchaser provided notice of cancellation is made by the Purchaser to Goffs UK no later than 1 hour after the Purchaser was notified by Goffs UK of The Panel's decision. The Purchaser shall in all cases be liable for the re-examination fee.
- (f) **With a Breeding Certificate** dated not earlier than 14 days prior to the Sale which must be lodged in the Sales' Office prior to the sale, and which will be read out by Goffs UK at the time of Sale. Fillies or broodmares which are not in foal and are offered for



sale as Breeding Stock must be offered with a Breeding Certificate, except for two-year-old fillies, or broodmares that have foaled since the publication of the Catalogue and prior to the sale, where no warranty as to their suitability for breeding is given or implied. Where a Broodmare or Filly is sold with a Breeding Certificate, the Purchaser may request to have her re-examined for suitability for breeding purposes only by The Panel provided the Purchaser has notified Goffs UK within 60 minutes of purchase that a re-examination is required. If the Broodmare or Filly is found not to be as certified, the sale may be cancelled at the discretion of the Purchaser provided notice of cancellation is made by the Purchaser to Goffs UK no later than 1 hour after the Purchaser was notified by Goffs UK of The Panel decision. The Purchaser shall in all cases be liable for the re-examination fee.

Immediately following Goffs UK being notified that an examination on behalf of a Purchaser is required, the Vendor must present the Lot to The Panel. In the event of the Vendor not making himself available, the Purchaser may elect to cancel the Sale or Goffs UK may commission an agent to present the Lot to The Panel, for which a charge of £20 will be deducted from the proceeds of sale. In the event of the Lot being taken for such examination by either the Purchaser, Goffs UK or their respective agents it nevertheless remains at the sole risk of the Vendor until the completion of the Re-examination, and the party taking the Lot shall not be

responsible for the death, injury or any damage caused by the Lot or to the Lot while temporarily under its control.

## 4.2 RE-EXAMINATION PROCEDURE

- 4.2.1 Any Lot described as a yearling (after 1st July of its yearling year), a 2yo in the January Sales or a Breeze Up Sale, which is or has any of the following:
- (a) A Whistler and/or a Roarer (being a horse which makes an abnormal inspiratory sound when actively lunged) and in addition has Laryngeal Hemiplegia (Recurrent Laryngeal Neuropathy); or
  - (b) Rostral displacement of the palatopharyngeal arch; or
  - (c) Epiglottic entrapment; or
  - (d) Chondroma or significant arytenoid chondritis; or
  - (e) Subepiglottic cyst(s); or
  - (f) Cleft palate
- and is not so described, is returnable, in accordance with Condition 4.2.2

- 4.2.2 Any Lot found to be suffering from any conditions described in Conditions 4.2.1 is returnable and the sale cancelled provided that:
- (i) It has not been removed from Goffs UK Premises; and
  - (ii) Within 24 hours from the fall of hammer:
    - (a) The Purchaser's Veterinary Surgeon must lodge a certificate with Goffs UK, expressing his opinion that the Lot is or has any of the conditions set out in Condition 4.2.1; and
    - (b) The Purchaser must present the Lot to The Panel as instructed by Goffs UK.

- (iii) Whether a Lot is or has any of the conditions set out in Condition 4.2.1 will be decided by The Panel whose decision shall be final and binding on the Vendor and Purchaser.

- 4.3 Sold Subject to Re-examination (whether with or without a veterinary certificate) (4.1(b))  
The examination will not include any radiological or other specialized techniques. It will not be concerned with the accuracy of any other statement concerning any particular Lot whether contained in the catalogue or in any veterinary certificate, save that it shall take into account any qualification contained in a veterinary certificate produced under the terms of 4.1 (b) above.

- 4.4 Sold Subject to a post-sale veterinary examination for wind only by the Panel - Any Lot sold in this way, which is a Whistler and/or a Roarer, (being a horse which makes an abnormal inspiratory sound when actively lunged) and is not so described, is returnable.

- 4.5 Additionally the Sale may be cancelled at the discretion of the Purchaser if the Lot, in the opinion of The Panel, is incapable of (i) being lunged in both directions to The Panel's satisfaction or (ii) being scoped to The Panel's satisfaction.

- 4.6 The Purchaser expressly acknowledges that there are other conditions other than those set out in Condition 4.2.1 which may be revealed by endoscope which are not grounds for return to the Vendor.

- 4.7 The appropriate re-examination fee arising from any re-

examination by The Panel shall be paid to Goffs UK by the Purchaser.

- 4.8 Goffs UK in no way accept responsibility for the findings of The Panel carrying out the re-examination.

## 5. BLOOD SAMPLING

### 5.1 Anabolic Steroids & Bisphosphonates

- 5.1.1 This Condition stands alone and is separate and distinct from Condition 4 and applies to all Lots from yearlings (sold after 1st July), unless described without qualification as retired from racing prior to Sale.

- 5.1.2 A Lot shall be returnable by the Purchaser to the Vendor where, in accordance with this Condition, a sample of blood is taken from the said Lot, analysed and found to contain:

- 5.1.2.1 any anabolic androgenic steroids or other anabolic agents, including but not limited to selective androgenic receptor modulators ("Anabolic Steroids") that are substances prohibited at all times under the BHA's Prohibited List Code as published and in force at the date of the Sale (the "Code") in concentration to or equal to or greater than the minimum concentration relevant to the sex of the Lot set out in the Code where applicable and, where no minimum concentration for the substance relevant to the sex of the Lot is stated therein, in any amount ("the Specified Concentration") and/or
- 5.1.2.2 any Bisphosphonates contrary to the BHA's Running Requirements Code ("Bisphosphonates").

- 5.1.3 A Purchaser may ask for a blood sample to be taken from a Lot for the purpose of testing for Anabolic Steroids and Bisphosphonates when signing the Acknowledgement of Purchase Form in accordance with Condition 9.5. The Purchaser shall be responsible to Goffs UK for the costs and expenses of taking and testing the sample unless the sale is cancelled under this Condition, in which case Condition 5.1.9(c) will apply. Failure to request a blood analysis under this Condition will be an absolute bar to the Purchaser returning the Lot under this Condition. Where a blood analysis is requested the Lot shall not be removed from the United Kingdom and Ireland. If a Lot is so removed and the Purchaser elects to return the Lot in accordance with this Condition, the Purchaser shall be liable for the costs of returning the Lot back to the Vendor.
- 5.1.4 When a Purchaser makes a request under Condition 5.1.3 the Vendor shall immediately deliver the Lot to a designated holding area in accordance with Goffs UK's instructions. If the Vendor fails to comply with these instructions the sale may be cancelled at the Purchaser's discretion.
- 5.1.5 When the Lot has been delivered to the holding area designated by Goffs UK, a member of The Panel will take an "A" sample and a "B" sample of blood from the said Lot.
- 5.1.6 If after one hour from the delivery of the Lot to the designated holding area a blood sample has not been taken from the said Lot (notwithstanding that The Panel has used such reasonable endeavours as are commensurate with the circumstances) the Sale may be cancelled at the Purchaser's discretion.
- 5.1.7 The "A" sample will be analysed by a third party at the direction of the BHA and the results of that analysis will be communicated to the Vendor and the Purchaser via Goffs UK. The Vendor and Purchaser expressly acknowledge that subject to Condition 5.1.6, a Lot is only returnable to the Vendor under this Condition if it is found that the "A" sample contains Anabolic Steroids in the Specified Concentration and/or any Bisphosphonates. In that event, the Purchaser may elect to return the Lot to the Vendor in accordance with Condition 5.1.9(a) below. The Vendor and Purchaser acknowledge and accept that although an "A" and "B" sample will be taken from the Lot in accordance with Condition 5.1.5 regard shall not be had to any analysis of the "B" sample for the purpose of this Condition. Where a sample taken from the Lot in accordance with this Condition is damaged, lost or stolen after the Lot has left the designated holding area or is otherwise incapable of being satisfactorily analysed for the purpose of this Condition the Vendor and Purchaser hereby expressly agree that in such circumstances the contract of sale shall stand and the Purchaser be bound to keep and pay the full purchase price for the Lot.
- 5.1.8 Goffs UK shall not be required to pay the Sale Price to the Vendor until the period during which the Purchaser may cancel the Sale in accordance with Condition 5.1.9(a) below has expired and such an election has not been made.
- 5.1.9(a) If it is found that the "A" sample contains Anabolic Steroids in the Specified Concentration and/or any Bisphosphonates, the Purchaser may elect to return the Lot to the Vendor. Such an election must be notified to Goffs UK in writing by 5pm on the seventh calendar day after the Purchaser was informed of the result by Goffs UK. Where a Purchaser elects to return a Lot in accordance with this Condition Goffs UK shall notify both parties that the contract of sale is cancelled and the Lot will be at the Vendor's risk from such time that he is notified and the Vendor must arrange and pay for any transport, keep and any other costs incurred by the Purchaser in connection with this Lot.
- (b) The Lot shall be at the Purchaser's risk in all respects from the fall of the hammer until notice is given by Goffs UK in accordance with Condition 5.1.9(a) above. For the avoidance of a doubt, in any case where Goffs UK has been given such notice the Lot will be at the risk of the Vendor from that point.
- (c) If the Purchaser has elected to return the Lot to the Vendor in accordance with this Condition the Vendor Shall:
- (i) pay Goffs UK on invoice a sum equivalent to the commission that would be payable under these Conditions of Sale had the contract of sale not been cancelled by the Purchaser.
- (ii) pay Goffs UK on invoice its charge for taking the sample, having it analysed under the sole and absolute authority and instruction of the BHA and making and giving decision under this Condition.
- (iii) indemnify Goffs UK against all costs, claims, demands, actions and expenses out of or in connection with its decision under this Condition.
- 5.1.10 The Vendor and Purchaser hereby acknowledge and agree that Goffs UK is entitled to provide to the BHA any sample taken from the Lot in accordance with this Condition for analysis under the sole and absolute authority and instruction of the BHA together with their details and understand and accept that the BHA may use any information obtained in connection with their analysis of the sample for the purpose of exercising its powers under the Rules of Racing as published by the BHA and as amended from time to time.

## 5.2 PERMANENT IMPORT TESTING

- 5.2.1 The Rules of Racing require that a horse which is to be permanently imported to Great Britain shall have a sample collected and analysed to detect for the presence of Bisphosphonates and/or Substances and Methods Prohibited at all Times (as defined in Condition 5.2.3.2 below). This Condition shall only apply where Goffs UK has been notified by the BHA that the Lot requires to pass a BHA Import Test in order to be permanently imported into Great Britain to be trained and/or raced in Great Britain. A List of these Lots will be posted on the notice board in the Sales Office prior to and during the Sale.

- 5.2.2 This Condition stands alone and is separate and distinct from Condition 4 and applies to all Lots from yearlings (sold after 1st July), unless described without qualification as retired from racing prior to Sale.
- 5.2.3 A Lot shall be returnable by the Purchaser to the Vendor where, in accordance with this Condition, a sample of blood is taken from the said Lot, analysed and found to contain:
- 5.2.2.1 Bisphosphonates and/or
- 5.2.3.2 shows evidence of use of any method prohibited at all times under the Code or presence of a substance prohibited at all times under the Code in concentration equal to or greater than the minimum concentration relevant to the sex of the Lot set out in the Code and, where no minimum concentration for the substance relevant to the sex of the Lot is stated therein, in any amount ("Substances or Method Prohibited at all Times").
- 5.2.4 A Purchaser may ask for a blood sample to be taken from a Lot when signing the Acknowledgement of Purchase Form in accordance with Condition 9.5. The Purchaser shall be responsible to Goffs UK for the costs and expenses of taking and testing the sample unless the sale is cancelled under this Condition, in which case Condition 5.2.10(d) will apply. Failure to request a blood analysis under this Condition will be an absolute bar to the Purchaser returning the Lot under this Condition. Where a blood analysis is requested the Lot shall not be removed from the United Kingdom and Ireland. If a Lot is so removed and the Purchaser elects to return the Lot in accordance with this Condition, the Purchaser shall be liable for the costs of returning the Lot back to the Vendor.
- 5.2.5 When a Purchaser makes a request under Condition 5.2.4 the Vendor shall immediately deliver the Lot to a designated holding area in accordance with Goffs UK's instructions. If the Vendor fails to comply with these instructions the sale may be cancelled at the Purchaser's discretion.
- 5.2.6 When the Lot has been delivered to the holding area designated by Goffs UK, a member of The Panel will take an "A" sample and a "B" sample of blood from the said Lot.
- 5.2.7 If after one hour from the delivery of the Lot to the designated holding area a blood sample has not been taken from the said Lot (notwithstanding that The Panel has used such reasonable endeavours as are commensurate with the circumstances) the Sale may be cancelled at the Purchaser's discretion.
- 5.2.8 The "A" sample will be analysed by a third party at the direction of the BHA and the results of that analysis will be communicated to the Vendor and the Purchaser via Goffs UK. The Vendor and Purchaser expressly acknowledge that subject to Condition 5.2.7 a Lot is only returnable to the Vendor under this Condition if it is found that the "A" sample contains any Bisphosphonates and/or Substances and Methods Prohibited at All Times. In that event, the Purchaser may elect to return the Lot to the Vendor in

- accordance with Condition 5.2.10(a) below The Vendor and Purchaser acknowledge and accept that although an "A" and "B" sample will be taken from the Lot in accordance with Condition 5.2.6 regard shall not be had to any analysis of the "B" sample for the purpose of this Condition. Where a sample taken from the Lot in accordance with this Condition is damaged, lost or stolen after the Lot has left the designated holding area or is otherwise incapable of being satisfactorily analysed for the purpose of this Condition the Vendor and Purchaser hereby expressly agree that in such circumstances the contract of sale shall stand and the purchaser be bound to keep and pay the full purchase price for the Lot.
- 5.2.9 Goffs UK shall not be required to pay the Sale Price to the Vendor until the period during which the Purchaser may cancel the Sale in accordance with Condition 5.2.10(a) below has expired and such an election has not been made.
- 5.2.10(a) If it is found that the "A" sample contains any Bisphosphonates and/or Substances or Method Prohibited at all Times, the Purchaser may elect to return the Lot to the Vendor. Such an election must be notified to Goffs UK in writing by 5pm on the seventh calendar day after the Purchaser was informed of the result by Goffs UK. Where a Purchaser elects to return a Lot in accordance with this Condition Goffs UK shall notify both parties that the contract of sale is cancelled and the Lot will be at the Vendor's risk from such time that he is notified and the Vendor must

- arrange and pay for any transport, keep and any other costs incurred by the Purchaser in connection with this Lot.
- (b) The Lot shall be at the Purchaser's risk in all respects from the fall of the hammer until notice is given by Goffs UK in accordance with Condition 5.2.10(a) above. For the avoidance of a doubt, in any case where Goffs UK has been given such notice the Lot is at the risk of the Vendor from that point.
- (c) If the Purchaser has elected to return the Lot to the Vendor in accordance with this Condition the Vendor Shall:
- (i) pay Goffs UK on invoice a sum equivalent to the commission that would be payable under these Conditions of Sale had the contract of sale not been cancelled by the Purchaser.
- (ii) pay Goffs UK on invoice its charge for taking the sample, having it analysed under the sole and absolute authority and instruction of the BHA and making and giving decision under this Condition.
- (iii) indemnify Goffs UK against all costs, claims, demands, actions and expenses out of or in connection with its decision under this Condition.
- 5.2.11 The Vendor and Purchaser hereby acknowledge and agree that Goffs UK is entitled to provide to the BHA any sample taken from the Lot in accordance with this Condition for analysis under the sole and absolute authority and instruction of the BHA together with their details and understand and accept that the BHA may use any information obtained in

connection with their analysis of the sample for the purpose of exercising its powers under the Rules of Racing as published by the BHA and as amended from time to time.

### 5.3 PROHIBITED SUBSTANCES (other than Anabolic Steroids and Bisphosphonates (see Condition 5.1))

- 5.3.1 This Condition stands alone and is separate and distinct from Condition 4 and applies to all Lots from yearlings (sold after 1st July) upwards with the exception of mares that have previously been covered by a stallion.
- 5.3.2 Where Prohibited Substances are referred to in a certificate signed by an independent veterinary surgeon and read out by Goffs UK at the time of the Sale the Condition will apply but the Lot shall not be returnable to the Vendor unless other Prohibited Substances than those certified and read out are present.
- 5.3.3 A Purchaser may ask for a blood sample to be taken from a Lot for the purpose of testing for Prohibited Substances when signing the Acknowledgement of Purchase Form in accordance with Condition 9.5. The Purchaser shall be responsible to Goffs UK for the costs and expenses of taking and testing the sample unless the said sample contains Prohibited Substances other than those certified and read out and the sale is cancelled under this Condition, in which case the Vendor shall be responsible for such costs and expenses. Failure to request a drug test under this Condition will be an absolute bar

to the Purchaser returning the Lot under this Condition.

- 5.3.4 When a Purchaser makes a request under Condition 5.3.3 the Vendor shall immediately deliver the Lot to a designated holding area in accordance with Goffs UK's instructions where a member of The Panel will take a sample from the said Lot. If the Vendor fails to comply with these instructions the Sale may be cancelled at the discretion of the Purchaser.
- 5.3.5 Where a Purchaser instructs Goffs UK to take and test a blood sample the said Lot shall not be removed from the United Kingdom or Ireland and if they do so, the Purchaser shall be liable for the costs of returning the Lot back to the Vendor in the event of a Purchaser electing to return the Lot in accordance with a right to do so under this Condition.
- 5.3.6 If after one hour from the delivery of the Lot to the designated holding area a blood sample has not been taken from the said Lot (notwithstanding that The Panel has used such reasonable endeavours as are commensurate with the circumstances) the Sale may be cancelled at the Purchaser's discretion.
- 5.3.7 Where a blood sample is found to contain Prohibited Substances other than those certified and read out the Purchaser may elect to return the Lot to the Vendor providing such election is made to Goffs UK by 5pm on the seventh calendar day after the Purchaser was notified by Goffs UK of the result.
- 5.3.8 Where a Purchaser elects to return a Lot in accordance with this Condition, Goffs UK shall notify

both parties that the Sale is cancelled, and the Lot will be at the Vendor's risk from such time that he is notified. The Vendor must arrange for the Lot to be collected, and pay for any such transport, and any other reasonable costs incurred by the Purchaser in connection with this Lot subject to Condition 5.3.5.

### 5.4 PIROPLASMOSIS

- 5.4.1 This Condition stands alone and is separate and distinct from Condition 4 and applies to any Lot described as a yearling (after 1st July of its yearling year) or older:
- 5.4.2 Where a Lot is described without qualification as positive for Piroplasmosis in a certificate signed by an independent qualified veterinary surgeon and read out by Goffs UK at the time of the Sale the Condition will apply but the Lot shall not be returnable to the Vendor. Where a Lot is not so described, the Lot shall be returnable to the Vendor in accordance with this Condition where Goffs UK decides in its absolute discretion that a blood sample taken from the said Lot in accordance with this Condition and tested for Piroplasmosis under OIE Protocol using a Piro IFAT test ("the Prescribed Test") contains the specified levels of either *Theileria equi* or *Babesia caballi*.
- 5.4.3 A list showing the specified levels for *Theileria equi* and *Babesia caballi* is available upon request made to Goffs UK and Purchasers and Vendors are advised to check the current specified levels before purchasing or entering a Lot for sale.

- 5.4.4 A blood sample will be taken from the Lot where the Purchaser instructs Goffs UK to do so immediately after purchase of the Lot by signing to this effect on the Acknowledgement of Purchase Form supplied by Goffs UK for the purpose of this Condition and to have the sample tested for the presence of Piroplasmosis. The Purchaser shall be responsible to Goffs UK for the costs and expenses of taking and testing the sample unless the said sample is found to be positive in accordance with Condition 5.4.2 in which event the Vendor shall be responsible for such costs and expenses. (For the avoidance of doubt, any sample not found to be negative shall be deemed to be positive). Failure to request a test for Piroplasmosis under this Condition will be an absolute bar to the Purchaser returning the Lot under this Condition.
- 5.4.5 When an instruction under Condition 5.4.4 is received the Vendor shall immediately deliver the Lot to a designated holding area in accordance with Goffs UK instructions, where a member of The Panel will take a sample from the said Lot. If the Vendor fails to comply with these instructions the Sale may be cancelled at the discretion of the Purchaser.
- 5.4.6 If after one hour from the delivery of the Lot to the designated holding area a sample has not been taken from the said Lot (notwithstanding that The Panel have used such reasonable endeavours as are commensurate with the circumstances) the Sale may be cancelled at the Purchaser's discretion.



- 5.4.7 Goffs UK shall exercise its absolute discretion in making a decision under Condition 5.4. Goffs UK decision shall be final and binding on both Vendor and Purchaser and Goffs UK shall not be responsible for any loss or expense incurred by any party arising out of its decision.
- 5.4.8 The Purchaser expressly acknowledges that, although there are other methods of testing for Piroplasmosis, a Lot is only returnable to the Vendor if it is decided by Goffs UK that the sample taken from a Lot and tested using the Prescribed Test contains the specified levels of either *Theileria equi* or *Babesia caballi*. The Purchaser further acknowledges that the presence of either *Theileria equi* or *Babesia caballi* in the sample at levels below the specified levels is not a ground for return of a Lot to the Vendor, in which instance the Purchaser shall be bound to keep and pay the full amount of the purchase price for the Lot.
- 5.4.9 Where a Purchaser instructs Goffs UK to take and test a blood sample the said Lot shall not be removed from the United Kingdom or Ireland and if they do so, the Purchaser shall be liable for the costs of returning the Lot back to the Vendor in the event of a Purchaser electing to return the Lot in accordance with a right to do so under this Condition.
- 5.4.10 Where a sample is found to be positive the Purchaser may elect to return the Lot to the Vendor providing such election is made to Goffs UK by 5pm on the seventh calendar day after the Purchaser was notified by Goffs UK of the result.
- 5.4.11 Where a Purchaser elects to return a Lot in accordance with this Condition Goffs UK shall notify both parties that the Contract of Sale is cancelled and the Lot will be at the Vendor's risk from such time that he is notified. The Vendor must arrange for the Lot to be collected, and pay for any such transport, and any other reasonable costs incurred by the Purchaser in connection with this Lot subject to Condition 5.4.9.
- 5.5 FURTHER SAMPLING**
- 5.5.1 Nobody may remove a sample of hair, blood or any other testable material from any Lot while on Goffs UK Premises, without specific permission from the Vendor. No sample of hair, blood, urine or other testable material from any Lot, other than those described in Condition 5 shall be used as reason for the return of any Lot.
- 6 ENGAGEMENTS**
- 6.1 A Lot is sold with engagements as given in the Catalogue, or announced at the time of Sale. Goffs UK however, accept no responsibility for engagements given or omitted. It will be the sole responsibility of the Vendor to ensure that the relevant documentation is completed and lodged with the Authority in question, but of the Purchaser to cancel any race entry if a forfeit applies. A Declaration of Forfeit for UK engagements must be made to Weatherbys and for Irish engagements to Horse Racing Ireland.
- 6.2 In particular Goffs UK do not warrant that a Lot is eligible for

participation in the Great British Bonus or any other breeders' scheme whether European or American notwithstanding that a statement to that effect or so implying may have been included in the Catalogue or made from the Rostrum.

**7 PRIVATE SALES AND TRANSFERS**

- 7.1 Any sale concluded on the day of the Sale or within seven calendar days between a Purchaser and a Vendor after the Lot has been unsold or bought in by the Vendor at the Sale shall be subject to the Goffs UK Conditions of Sale. Such transactions must be finalised in writing on a Private Sale Form signed by the Vendor, the Purchaser and an authorised representative of Goffs UK. For the avoidance of doubt, this includes any Sale conducted online. The terms of Condition 9 below will apply to the Purchaser.
- 7.2 Where Goffs UK accepts the transfer of a Lot from the Purchaser to a third party (the New Purchaser), the appropriate Private Sale Form must be completed in the Sales Office. The terms of Condition 9 below will apply to the New Purchaser.
- 7.3 The Complaints Procedure set out in Conditions of Sale 11 and 12 will apply to a Private Sale if any condition listed in Condition of Sale 12 is not stated in the Catalogue or is not declared by The Vendor at the time of signing the Private Sale Form. For the avoidance of doubt, this declaration at the time of signing the Private Sale Form is required

regardless of whether the condition was announced by the Auctioneer at the time the Lot was offered for sale in the ring.

**8 PASSING OF RISK AND TITLE**

- 8.1 The Lot will be at the Vendor's risk at all times until the fall of hammer (or time of sale if sold privately) when the risk shall pass to the Purchaser subject to 8.2 and 8.3 below.
- 8.2 Any Lot Sold Subject to Re-examination under the terms of 4.1 above shall be at the Vendor's risk for 60 minutes from the fall of the hammer or, if Re-examination is requested, until the completion of the Re-examination. Risk remains with the Vendor if the Lot fails the examination and passes to the Purchaser if/when the Lot passes.
- 8.3 Any Lot tested for Prohibited Substances and Methods, or Piroplasmosis under the terms of Condition 5 above shall remain at the Vendor's risk from the fall of the hammer until the sample has been taken from the Lot when risk passes to the Purchaser. If, a Purchaser elects to return a Lot to the Vendor in accordance with Condition 5, risk will pass back to the Vendor from the point at which the Purchaser's election is notified to Goffs UK.
- 8.4 Notwithstanding the passing of risk in this Condition or delivery of the Lot to the Purchaser, the Vendor shall retain title to the Lot until the full purchase price has been paid to Goffs UK.
- 8.5 Where Goffs UK have paid out the Vendor in accordance with 3.8

above, title of the Lot will vest in Goffs UK on the same terms as 8.4 above.

## 9. PURCHASERS

- 9.1 All purchases are subject to 6% buyers' commission as outlined in Condition 2.1.3.
- 9.2 Goffs UK strongly recommend that Purchasers should attend the Sale in person and Purchasers are strongly advised to inspect each Lot prior to purchase. It is the responsibility of the Purchaser to ensure that he is satisfied with the condition of any Lot before bidding. Prospective Purchasers may use a veterinary surgeon of their own choice to check or inspect the condition of any Lot prior to sale provided the consent of the Vendor is obtained in advance, that the Vendor expressly consents to the form of inspection to take place and it is understood by prospective Purchasers that such consent is entirely at the Vendor's own discretion.
- 9.3 All Lots are sold subject to these Goffs UK Conditions of Sale and are subject to any announcements that may be made by the auctioneer. A Vendor may call upon Goffs UK to amend the Catalogue description at the Sale and it is the Purchaser's responsibility to ensure he hears any such announcement made by the auctioneer as a consequence. The information on the Goffs UK notice board, website and announcement boards in the Sales ring is for guidance only and Goffs UK are not liable for any error or omission from such information.

- 9.4 All certificates referred to in the Catalogue will be available for inspection in the Sales Office prior to the Sale.
- 9.5 Immediately after the purchase of each Lot, the Purchaser must sign the Acknowledgement of Purchase Form confirming the sales details together with his name, address and other information as required by Goffs UK. Goffs UK will subsequently issue an invoice to the Purchaser.
- 9.6 Subject to 9.9 below, payment must be made in pounds sterling by the Purchaser for each Lot on the day of the Sale and the Lot must be removed by the Purchaser by the close of each day's sale. Prior to the Sale Goffs UK may agree to other payment terms at their discretion and may allow the Purchaser to remove the Lot before full payment has been received. In the event that payment is not received under the agreed terms, Goffs UK will endeavour to collect the debt by whatever means are deemed appropriate. Goffs UK will not accept payments in cash for any Lot.
- 9.7 No Lot will be raced until it has been paid for in full.
- 9.8 Where a Purchaser bids or buys on behalf of another, the Purchaser must disclose the name and address of his principal when required by Goffs UK and both the Purchaser and his principal shall be jointly and severally liable under these Conditions.
- 9.9 Where the Purchaser notifies Goffs UK that a Lot has been bought on behalf of another after

the Sale and asks Goffs UK to invoice that person (the "Nominee"), Goffs UK (at its absolute discretion) may agree to do so on the basis that the Purchaser and the Nominee will be jointly and severally liable to pay the Purchase Price. The Purchaser's payment obligations in respect of the relevant Lot will only be discharged in the event that the Nominee has paid the whole of the Purchase Price. However, where the Nominee pays part of the sum due but the remainder is unpaid, Goffs UK claim shall be limited to the unpaid sum.

- 9.10 Where a Vendor and a Purchaser agree to terms not covered by the Conditions of Sale, a copy of that agreement must be lodged in writing in the Sales Office before the Sale, and approved by Goffs UK. If no such copy of the agreement is lodged, and any dispute arises as a result of this agreement between the Vendor and the Purchaser, Goffs UK will demand that the Purchase Price is paid in full in accordance with Condition 9.6 unless the Vendor has notified Goffs UK in writing that the sale has been cancelled, in which case Condition 2.1.4 shall apply.
- 9.11 It is the sole responsibility of the Purchaser to ascertain whether the Lot is eligible for point to pointing within the meaning of the rules laid down by the BHA.

## 10 GOFFS UK'S RIGHTS

- 10.1 Goffs UK reserve the right to exclude or remove any person or animal from their premises without giving any reason.

- 10.2 Goffs UK reserves the right to exclude for a period to be determined in its absolute discretion any person or entity from participating in its sales or from attending its premises in both UK and Ireland (whether Vendors, Purchasers, agents or otherwise) where they or any person or entity acting on their behalf have been found guilty of a criminal offence appearing to Goffs UK to involve a breach of the Code, or who has been found liable in the High Court or similar proceedings for conduct appearing to Goffs UK to involve a breach of the Code (whether such criminal or civil proceedings take place in the United Kingdom, Ireland or elsewhere) or who has been sanctioned by the British Horseracing Authority for breach of the Code.
- 10.3 Participants at Goffs UK Sales authorise Goffs UK to disclose to a lawyer appointed pursuant to the Code upon request any documents relating to a Sale, including but not limited to a sales entry form and/or Acknowledgment of Purchase form.
- 10.4 Goffs UK reserve the right to refuse to include in the catalogue or offer for sale any Lot which is:
- A mare with a foal at foot less than seven days old.
  - A pregnant mare whose due foaling date is not more than 14 days after the day of Sale.
  - Any mare aged 18 or over that is not in foal.
  - Any animal aged 20 years or over.

- 10.5 Goffs UK, as Agents for the Vendors, reserve the following rights, viz:
- To refuse the bidding of any person, without giving any reason.
  - To bid by themselves for any Lot.
  - To withdraw the Lot from sale at any time before it has been knocked down without disclosing the reserve price.
  - To fix a minimum price at any or all Sales.
- 10.6 Goffs UK do not verify the accuracy of the information provided to it on the sale entry form and therefore make no representation whatsoever express or implied as to the identity of the Owner or Owners of any Lot at the time of entry, time of sale or at any other time. In the event of any dispute the Purchaser's remedy, if any, shall be against the Vendor and the Vendor's remedy shall be against the Purchaser, and not against Goffs UK who act as Agents between Vendor and Purchaser and shall not be liable as a Party in any action or dispute between them.
- 10.7 Goffs UK reserve the right to charge interest on unpaid accounts at 1.5% per month on all accounts which are unpaid 21 days after the last date of the Sale.
- 10.8 Goffs UK reserve the right to charge the Purchaser £35+VAT per day for any horse remaining at Goffs UK after 5.00 p.m. on the day following its day of sale. In the case of unsold horses or horses sold who are unable to be transported due to paperwork not received from the Vendor under Appendix 1 of these Conditions,
- Goffs UK reserve the right to charge the Vendor £35+VAT per day.
- 10.9 If for any reason a Lot is purchased and not paid for as hereinbefore provided (notwithstanding if the risk has passed to the Purchaser) then the following provisions shall take effect until payment is received:
- Goffs UK shall be entitled to retain the Lot, and shall have a lien over the Lot and all documents relating to it for all expenses, including freight, incurred by them or any agent of Goffs UK during such period of retention. Such lien may be exercised in respect of any other sum due by the Purchaser to Goffs UK. Goffs UK shall also be entitled to exercise the said lien over any documents in their possession relating to any other Lot or Lots owned by the Purchaser. A Lot shall not be delivered to the Purchaser until the expenses referred to above have been paid, in addition to the Purchase Price.
  - In the event of a Lot having been removed from Goffs UK's premises then Goffs UK shall be entitled to repossess the Lot from anyone in possession of it.
  - Should the Purchaser fail to pay for a Lot then such Lot may at any time be resold by public auction or private treaty, and the deficiency (if any) resulting from such resale shall immediately be made good by the defaulting Purchaser.
  - Nothing in these Conditions shall preclude Goffs UK and/or the Vendor of a Lot from pursuing all legal remedies available to them for the recovering of the Purchase Price from a defaulting Purchaser and all expenses and damages and commission lost resulting from such default.
- 10.10 Goffs UK will not release any Lot from the Sales Complex until a written release form in the form of a pass-out has been issued by Goffs UK.
- 10.11 Goffs UK may at any time, without notice, set off any liability of a Debtor (including but not limited to the outstanding purchase price of any Lot) against any liability of any Associated Company to the Debtor (whether any such liability is present or future, actual or contingent, liquidated or unliquidated and irrespective of the currency of its denomination) and Goffs UK may for such purpose convert or exchange any currency.
- 10.12 Any exercise by Goffs UK of its rights under Condition 10.11 shall be without prejudice to any other rights or remedies available to Goffs UK under these Conditions or otherwise.
- 10.13 Goffs UK reserve the right to move a horse from its stable if it has not been removed within the specified time stated in the catalogue. Goffs UK may be required to move a horse by transport to the Racecourse Stables and will not be held liable for any loss or injury to a horse whilst being moved.
- 11-13 DISPUTES PROCEDURE**
- 11 COMPLAINTS PROCEDURE**
- The Complaints Procedure applies only in the circumstances set out in Condition 12 below. For the avoidance of doubt, the clinical examinations in Condition 4 above do not apply to this Condition 11 as those examinations must be dealt with on the day of (or the day following) the Sale by The Panel.
- 11.1 A Purchaser wishing to invoke this Condition must do so by informing Goffs UK in writing (as per Condition 16) by 5.00pm on the seventh calendar day after the Lot was purchased (third calendar day in the case of Conditions 12.1.5 & 12.1.6), giving specific details of their complaint, supported by a certificate signed by an independent veterinary surgeon (or independent professional rider in the case of Conditions 12.1.5 & 12.1.6)
- 11.2 Where vendor documentation in accordance with Appendix 1 is not submitted to Goffs UK for a Lot delaying onward travel to the EU, A Purchaser wishing to invoke this Condition must do so by informing Goffs UK in writing (as per Condition 16) by 5.00pm on the seventh calendar day after relevant paperwork has been received permitting onward travel (third calendar day in the case of Conditions 12.1.5 & 12.1.6) giving specific details of their complaint, supported by a certificate signed by an independent veterinary surgeon (or independent professional rider in the case of Conditions 12.1.5 & 12.1.6).
- 11.3 The complaint shall be dealt with by Goffs UK, or their appointee, which may be a veterinary surgeon or professional/international rider or other deemed appropriate by

Goffs UK at such time, at such place and in such manner as Goffs UK deems appropriate. The decision of Goffs UK on the complaint, shall be final and binding on both the Vendor and the Purchaser. Goffs UK may have the Lot examined by their appointee and where the opinion of their appointee does not agree with the opinion of the Purchaser's appointee as expressed in his certificate furnished, then the opinion of the Goffs UK appointee shall prevail and shall be final and binding on the parties.

- 11.4 All expenses and charges incurred by such adjudication as well as the transport and keep cost of any Lot shall be paid by the Party found to be in error.
- 11.5 For the avoidance of doubt risk remains with the Purchaser throughout any complaint and only passes back to the Vendor when both parties are notified of Goffs UK decision to cancel the sale (if appropriate).
- 11.6 It will be the Purchaser's responsibility to transport the lot at their cost within five days to a referee in the United Kingdom, Ireland or France as appointed by Goffs UK.
- 11.7 No Lot may be returned for the reasons set out in Condition 12 if it has raced following the Sale.

## 12 LOTS RETURNABLE

- 12.1 The Complaints Procedure set out in 11 above will only apply if the information in 12.1 below is not stated in the catalogue or announced at the Sale or if the Conditions in 12.2 below are not

declared in the Catalogue or are not announced without qualification at the Sale. In these cases, the Sale may be cancelled at the discretion of the Purchaser.

- 12.1.1 The Lot is not registered with a Stud Book Authority approved by the International Stud Book Committee.
- 12.1.2 The pedigree or description of the Lot does not correspond with the pedigree or description as stated in the catalogue.
- 12.1.3 The Lot has run at an unrecognised meeting or is on the forfeit list maintained by a recognised Turf Authority.
- 12.1.4 The Lot is described as a Colt and does not at such time have both testes palpable (this provision is to apply only to any horse offered for sale after 1st July of their yearling year) and may be so described by the auctioneer, or on the bid board, as a rig.
- 12.1.5 The Lot is described as unbroken and should have more properly been described as broken prior to the sale.
- 12.1.6 The Lot is described as untried and has been tried prior to Sale in the opinion of Goffs UK or their appointee. A Lot will be deemed tried if it:
- (a) Has run in any race.
  - (b) Has been catalogued in any previous Breeze-Up Sale.
  - (c) Has appeared on any published Trainer's or Permit Holder's List.
  - (d) Has been galloped "upsides" prior to Sale.
  - (e) Is deemed to have been broken & tried prior to Sale.

12.1.7 The Lot is the subject of a Starting Stalls Test as ordered by the Stewards of the BHA/I.H.R.B.

12.1.8 The Lot is a Broodmare/Filly which has been covered and/or subsequent to any covering has slipped the foal.

12.1.9 The Lot is subject to a BHA Non Racing Agreement (NRA1 form)

12.1.10 The microchip number, markings or vaccinations for the Lot do not correspond to the microchip number, markings or vaccinations provided for the Lot by the Vendor prior to the Sale.

12.2 Any Lot which:

- (a) Is a wind-sucker, (i.e. frequently swallows air whether in association with grasping fixed objects with incisor teeth or not; a crib-biter is not returnable unless the crib-biting is associated with wind-sucking, in which case the Lot is returnable as a wind-sucker).
- (b) Has been operated on for the correction of wind-sucking as defined above.
- (c) Is a weaver; (i.e. frequently swings its head and neck to and fro and transfers weight from one forelimb to the other alternately).
- (d) Is a boxwalker (i.e. frequently walks either backwards and forwards or round and round the box repeatedly in an aimless manner).
- (e) Has been tubed or otherwise operated on for unsoundness in wind; (Operations to treat the displacement of the soft palate, including the operations tie forward, cautery of the soft palate, trimming of the soft palate and

myectomy are not operations for the correction of unsoundness in wind within the meaning of this Condition).

- (f) Has impaired vision or injury to the eye.
- (g) Is a wobbler.
- (h) Has been unnerved.

## 13 DISPUTES

- 13.1 Any dispute arising out of the sale of any Lot in accordance with these Conditions of Sale shall be a dispute between the Vendor and the Purchaser and in no circumstances shall Goffs UK be liable to either party in connection with such dispute.
- 13.2 Where Goffs UK are brought in as a party to any dispute despite 13.1 above, Goffs UK will be entitled to payment of their legal and other expenses on a full indemnity basis from the party who joins them in.

## 14 SAFETY

- 14.1 Every person on Goffs UK premises before, during or after the Sale shall be deemed to be there at their own risk and shall have no claim against Goffs UK in respect of any injury sustained or any loss or damage to property which may occur from any cause whatsoever save that nothing in this sub-clause shall be taken to exclude or restrict liability for death or personal injury arising from Goffs UK's negligence.
- 14.2 Goffs UK accept no liability for any, disease, accident, loss or fatal or non-fatal injury caused to a Lot (including death, theft or injury) or caused by any Lot while it is being



## Vendor Sale Requirements

### (Appendix 1)

This page is referred to in the Goffs UK Conditions of Sale. Passports must be lodged in the Sales Office prior to sales per Condition of Sale 3.4.

**All Vendors must leave a contact telephone number at the Stable Office to ensure that they are contactable in case of emergency.**

**A.1.1 Foals:** Passport, including new ePassport, Health certificate in accordance with A.1.11. For horse passport validity see A.1.13. Negative Equine Infectious Anaemia (EIA) Coggins Certificate See A.1.12.

**A.1.2. Yearlings:** Passport. Flu vaccinations must be up-to-date in accordance with A.1.10. (after 1 July). Health certificate in accordance with A.1.11. For horse passport validity see A.1.13. Negative Equine Infectious Anaemia (EIA) Coggins Certificate See A.1.12

**A.1.3. Breeze-Ups:** Passport. Any Lot that does not have a passport or up-to-date vaccinations in accordance with A.1.10 will not be permitted entry onto the racecourse and will be withdrawn from the Sale. Health certificate in accordance with A.1.11. For horse passport validity see A.1.13. Negative Equine Infectious Anaemia (EIA) Coggins Certificate See A.1.12

**A.1.4. Horses-in-Training (Aged two years and upwards):** Passport. Flu vaccinations must be up-to-date in accordance with A.1.10. Health certificate in accordance with A.1.11. For horse passport validity see A.1.13. Negative Equine Infectious Anaemia (EIA) Coggins Certificate See A.1.12

**A.1.5. Store Horses:** Passport. Flu vaccinations must be up-to-date in

accordance with A.1.10 Health certificate in accordance with A.1.11. For horse passport validity see A.1.13. Negative Equine Infectious Anaemia (EIA) Coggins Certificate See A.1.12.

**A.1.6. Mares in Foal:** Broodmare Passport (Registered with Weatherbys). Flu vaccinations must be up-to-date in accordance with A.1.10. Pregnancy certificate dated within 14 days of sale, EVA (Equine Viral Arteritis) and CEM (Contagious Equine Metritis) Certificates dated within 30 days of the sale. Health certificate in accordance with A.1.11. Covering Certificate are all mandatory and should be electronically lodged with Weatherbys. For horse passport validity see A.1.13. Negative Equine Infectious Anaemia (EIA) Coggins Certificate See A.1.12.

**A.1.7. Barren Mares:** Broodmare Passport (Registered with Weatherbys). Flu vaccinations should be up-to-date in accordance with A.1.10. CEM-free certificate dated within 30 days of sale stating that a negative clitoral swab (fossa and sinuses) has been obtained EVA Certificate dated within 30 days of the Sale, Health certificate in accordance with A.1.11. Covering Certificate and Breeding Certificates are all mandatory. For horse passport validity see A.1.13. Negative Equine Infectious Anaemia (EIA) Coggins Certificate See A.1.12.

**A.1.8 Potential Broodmares:** Passport. Flu vaccinations must be up-to-date in accordance with A.1.10. Health certificate in accordance with A.1.11. Breeding Certificate dated within 14 days. For horse passport validity see A.1.13. optional EVA (Equine Viral Arteritis)

moved by Goffs UK employees, agents or subcontractors between yards and Goffs UK Premises or at any time whilst it is on Goffs UK Premises. Provided always that nothing in this sub-clause shall be taken to exclude or restrict Goffs UK's liability at law for death or personal injury to persons arising from Goffs UK's negligence.

14.3 All Lots must wear a head collar at all times on Goffs UK premises. Where a Vendor is found to have left a Lot without a head collar after the Sale, Goffs UK will supply a head collar at the Vendor's expense.

14.4 All lots being exercised or being shown to prospective purchasers, must have a bit in their mouth at all times on Goffs UK premises.

14.5 If any Lot is ridden at any time in conjunction with the Sale, the rider must wear a BHA approved helmet and body protector, and it shall be the responsibility of the Vendor or Purchaser to ensure that they, their employees or agents, adhere to this Condition.

### 15 GENERAL

15.1 Goffs UK reserve the right to add, cancel, alter or otherwise modify these Conditions of Sale.

15.2 These Conditions of Sale form the entirety of the agreement between Goffs UK, the Owner, the Vendor and the Purchaser and no variation shall be valid or binding unless specifically authorised in writing by two Executive Directors of Goffs UK.

15.3 These Conditions of Sale are to be construed and shall take effect in accordance with English Law.

15.4 The Owner, the Vendor and the Purchaser agree for the exclusive benefit of Goffs UK that these Conditions of Sale shall be subject to the non-exclusive jurisdiction of the Courts of England and Wales and, for such purpose, irrevocably submit to the non-exclusive jurisdiction of those Courts.

15.5 The submission to the jurisdiction of the Courts of England and Wales shall not limit the right of Goffs UK to take proceedings against the Owner, the Vendor or the Purchaser in any other Court of competent jurisdiction and the taking of proceedings in one or more jurisdictions shall not preclude the taking of proceedings in any other jurisdiction whether concurrently or not.

### 16 NOTICES

When any certificate or notice is required to be given to Goffs UK under these Conditions of Sale, it must be made in writing and sent by first class post to the Goffs UK Hawick Office or emailed to winners@goffsuks.com. Any notice required to be given by Goffs UK to the Vendor or the Purchaser shall be sent to the address given on the Entry Form or the Acknowledgement of Purchase Form. Such notice shall be deemed given on the date of delivery or the second day after posting or on the day of transmission depending whether the notice is sent by hand, post or email.

*(Appendix 1: continued)*

and CEM (Contagious Equine Metritis) Certificates stating Negative Clitoral Swab (fossa and sinuses) dated within 30 days of the sale. Negative Equine Infectious Anaemia (EIA) Coggins Certificate See A.1.12.

**A.1.9. Broodmares Covered in a Previous Season:** Same documents as Barren Mares except Covering Certificate is not required. For horse passport validity see A.1.13.

**A.1.10. Flu Vaccinations (Condition of Sale 3.4(c)):** All Lots to be fully vaccinated in accordance with BHA guidelines. From 1st January 2022 equines starting a primary course of injections must have at least two primary injections to be given not less than 21 and not more than 60 days apart. A booster injection should be given not less than 120 and not more than 180 days apart. Thereafter booster injections should be given not more than six months apart.

**A.1.11. Health certificate** Stating that for 30 days prior to the Sale the horse has not been in contact with equines suffering from contagious diseases.

**A.1.12. Equine Infectious Anaemia (EIA):** All Lots must be presented with a negative EIA test using the coggins method taken within 70 days of the first day of the Sale. Samples should be sent to APHA Weighbridge in the UK or to an approved laboratory in the EU. (Where an EIA laboratory result is not presented at the Sale, documentary evidence must be presented showing that a blood sample has been taken and is being analysed by an approved laboratory).

**A.1.13. Headcollars (Condition of Sale 14.3):** It is a Condition of Sale that all horses must wear a headcollar at all times in the Sales Complex. This is in the interests of Health & Safety to prevent possible injury to horse and handler when a lot is removed from its box. Where the Vendor is found to have left the lot without a headcollar after the sale, Goffs UK will supply a headcollar at the Vendor's expense.

**A.1.14. Showing:** All Lots should have a bit in their mouth when being shown on the Goffs UK Sales Complex in the interests of Health and Safety.

**A.1.15. Microchips (Condition of Sale 3.4(b)):** All Lots born in UK or Ireland should have been microchipped at birth. Please note that all horses must be microchipped when arriving at the Sales Complex. This may be particularly relevant to those foaled outside UK or Ireland.

**A.1.16. Import/Export (Condition of Sale 3.5)** It is the responsibility of the Vendor to ensure that all Import/Export documents have been completed prior to Sale or the lot must be withdrawn. No horse will be offered for sale unless both the Passport & where applicable Ownership Card have been lodged in the Sales Office. Goffs UK will return any relevant documentation required to be sent to France-Galop and will deduct the Export fee from the sale proceeds. Goffs UK will not pay the sale proceeds to the Vendor until the appropriate documentation has been completed.

*These requirements may be altered or waived in the absolute discretion of Goffs UK.*

## The Bloodstock Industry Code of Practice *(Appendix 2)*

### Introduction

Since 2009, when the current Bloodstock Industry Code of Practice came into effect, the Bribery Act 2010 has been passed into law in the United Kingdom. The Bribery Act made bribery a criminal offence, punishable with up to 10 years' imprisonment. This is reflected in this new Code, which is designed to prevent serious malpractice in the bloodstock sales industry, including by banning: (a) bribery (whether described as "Luck Money" or not); (b) acting for both sides on a Sale without prior informed consent; and (c) the practice of collusive "bidding up". Such practices have no place in the bloodstock industry.

To assist with this stated objective and to encourage Participants to come forward with concerns over an alleged breach of the Code, the Bloodstock Industry Forum has established a facility for a Participant with such concerns to obtain free, initial and independent legal advice from a Panel Lawyer as to any criminal, civil and regulatory remedies for breach of this Code. The Panel Lawyer will owe their duties, including their duty of confidence, exclusively to the Participant and their fees for providing initial legal advice will be met by the Bloodstock Industry Forum. In addition to breach of this Code, misconduct relating to Sales may lead to civil and criminal liability. For example, where a bribe is paid to an Agent, this may lead to civil liability to pay the Principal the amount of the bribe, on the part of either of (or both) the paying and receiving party, as well as to the commission of a criminal offence under the Bribery Act 2010 (applicable in

the United Kingdom) or the Criminal Justice (Corruption Offences) Act 2018 (applicable in the Republic of Ireland).

This Code has been drawn up by and with the full support of all members of the Bloodstock Industry Forum namely: The British Horseracing Authority, the Irish Horseracing Regulatory Board, Horse Racing Ireland, the Irish Thoroughbred Breeders Association, the Breeze-Up Consignors Association, the Federation of Bloodstock Agents, the National Trainers Federation, the Racehorse Owners Association, the Thoroughbred Breeders Association, Goffs and Tattersalls.

This Code will be reviewed annually to ensure that it remains robust and fit for purpose.